

High Security Frequency/Time Hopping Sequence Generators

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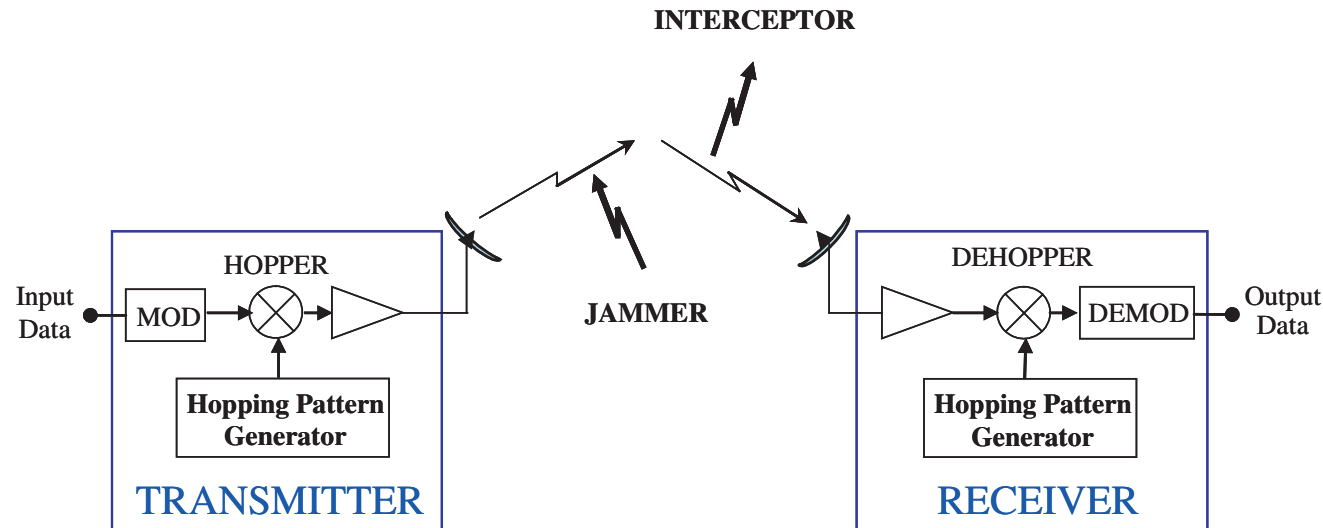
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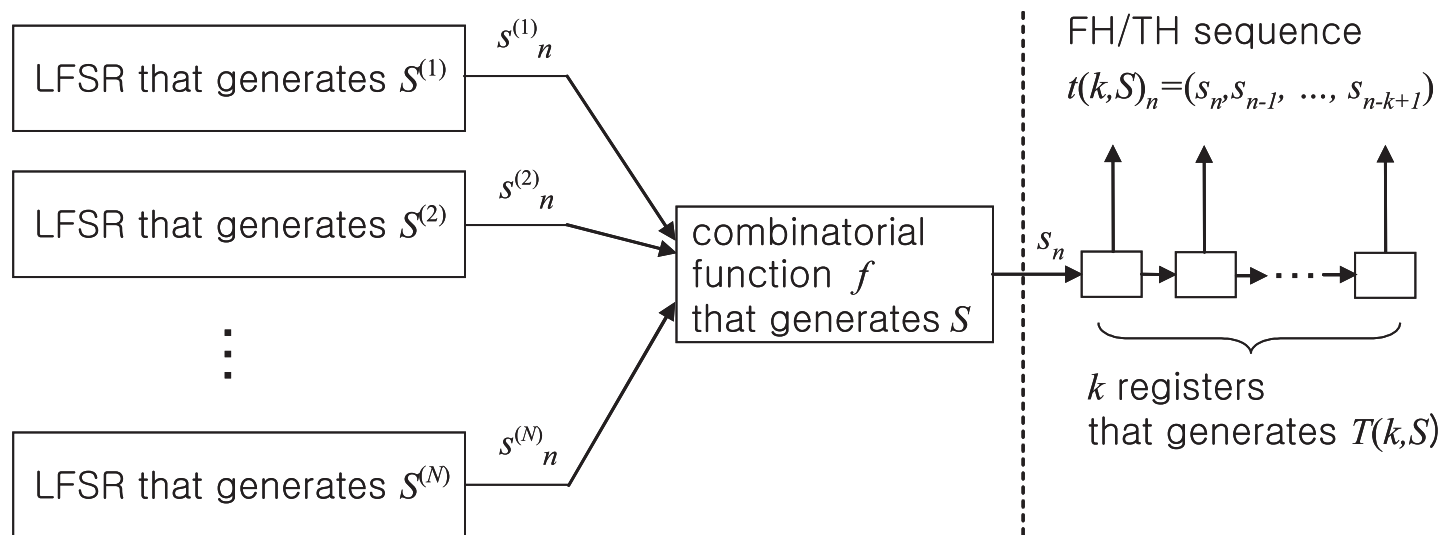
Frequency/Time Hopping Sequence Generators

◇ Frequency/Time Hopping (FH/TH) Systems



- Design criteria for FH/TH sequences (i.e. non-binary sequences)
 - (i) with “high” security, and
 - (ii) over “large” alphabets, but
 - (iii) with “little” increase in the hardware complexity

◇ Proposed FH/TH Sequence Generators



- The combinatorial function generator is intended to construct a FH/TH sequence with a large linear complexity (LC)
- The k registers are used to construct a non-binary (p^k -ary) sequence T over a large alphabet from a given (p -ary) sequence S over a small alphabet

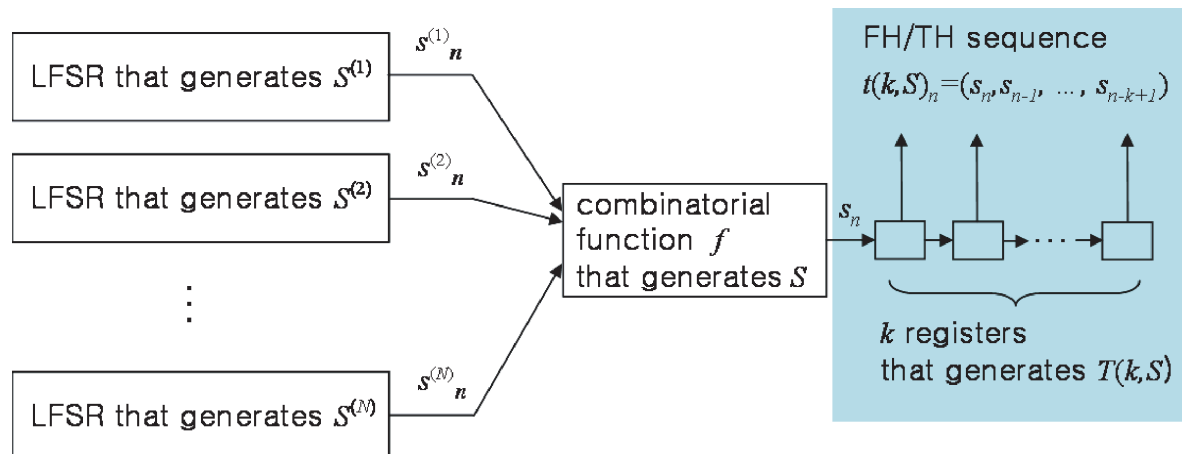
- By increasing the parameter k , one may obtain a sequence over as a large alphabet as one wishes
⇒ Satisfies (ii) “over a large alphabet”
- Proposed method is so simple to construct a p^k -ary sequence compared with a construction over \mathbb{F}_{p^k} because the multiplication over \mathbb{F}_{p^k} is much more complex than that over \mathbb{F}_p in the LFSR construction.
⇒ Satisfies (iii) “with little increase in the hardware complexity”
- The remaining condition is (i) “with high security”
⇒ Consider possible attacks on the FH/TH sequence generator and characterize the generator with desired cryptographic properties to resist these possible attacks

Attack Scenarios and Desired Cryptographic Properties

◇ Attack Scenario 1: Berlekamp-Massey (BM) Attacks

- Attacker scans the whole frequency/time slots and does not know the structure of the FH/TH sequence generator
- Synthesize the LFSR that generates an FH/TH sequence T from successively observed symbols using BM algorithm

⇒ T must have large LC!



- $S^{(i)}$, $i = 1, 2, \dots, N$: sequences over \mathbb{F}_p
- Combinatorial function sequence S over \mathbb{F}_p in the algebraic normal form

$$\begin{aligned} s_n &= f(s_n^{(1)}, s_n^{(2)}, \dots, s_n^{(N)}) \\ &= a_0 + \sum_{i=1}^N a_i s_n^{(i)} + \sum_{i=1}^N \sum_{j=i+1}^N a_{ij} s_n^{(i)} s_n^{(j)} + \dots + a_{12\dots N} s_n^{(1)} s_n^{(2)} \dots s_n^{(N)} \end{aligned} \quad (1)$$

- k -tuple sequence, FH/TH sequence, $T(k, S)$ over \mathbb{F}_{p^k} using some but fixed basis

$$t(k, S)_n = (s_n, s_{n-1}, \dots, s_{n-k+1}) \quad (2)$$

- Maximum possible LC of $T(k, S)$ for the given algebraic normal form f

$$M = F(M^{(1)}, M^{(2)}, \dots, M^{(N)}) \quad (3)$$

- $M^{(i)}$: LC of $S^{(i)}$
- $F(\cdot)$ is defined as $f(\cdot)$ in (1)
- Operations are over the integers
- Coefficient is 0 if it is 0 or 1 otherwise, respectively

Theorem 1 [Hong et al. '06] Let $S^{(i)}$, $i = 1, 2, \dots, N$, be sequences over \mathbb{F}_p with minimal polynomials $C_{S^{(i)}}(x)$ of degree $M^{(i)}$, that divide $x^{p^{m^{(i)}}} - 1$ for some $m^{(i)}$ and contain no linear factor. For any pair of distinct roots, α and β , of $C_{S^{(i)}}(x)$, $i = 1, 2, \dots, N$, $\alpha\beta^{-1} \notin \mathbb{F}_p$. If $k, m^{(i)}$, $i = 1, 2, \dots, N$ are pairwise relatively prime, then $T(k, S)$ over \mathbb{F}_{p^k} as defined in (2) has the minimal polynomial of degree M as defined in (3) for the given algebraic normal form f .

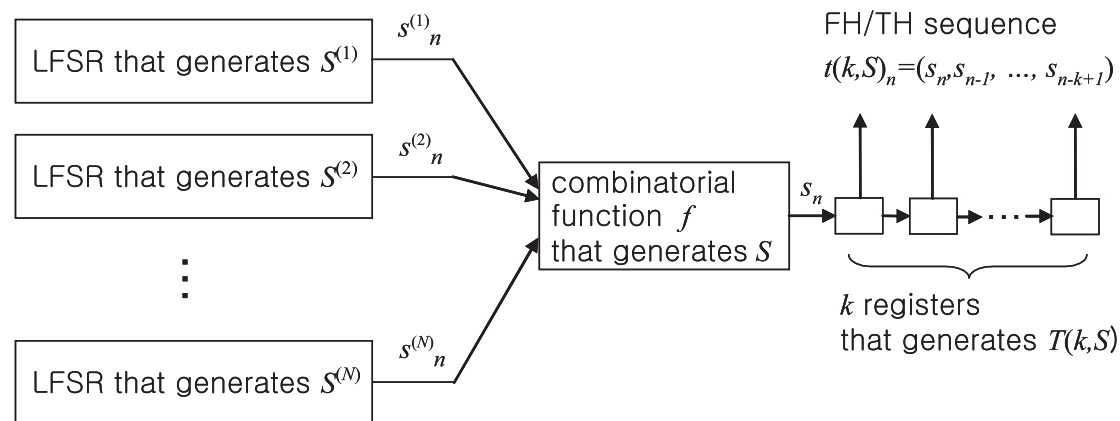
⇒ Characterize those LFSRs such that the FH/TH sequence, $T(k, S)$, has the maximum possible LC

- The only remaining component to be characterized for security is a combinatorial function, i.e. a p -ary function
 - ⇒ Consider desired cryptographic properties of p -ary functions to resist other cryptographic attacks than the BM attack
 - ⇒ Focus on the extensions of the cryptographic properties of the Boolean function to those of the p -ary case

◇ Attack Scenario 2: Partial Band Jamming or (Multi) Tone Jamming

- Attacker does not care about the structure of the FH sequence generator
- Radiate Gaussian noise in the partial band or Gaussian (multi) tone

⇒ T must be balanced!



- $s_n^{(i)}, n = 1, 2, \dots$: *iid* discrete uniform random variables (RVs)
 - $s_n^{(i)}, i = 1, 2, \dots, N$: mutually independent
 - If f is balanced, $s_n, n = 1, 2, \dots$, are *iid* discrete uniform RVs, and therefore T is balanced
- ⇒ Construct p -ary balanced functions

- $f(\bar{X})$: p -ary function with N arguments
 - $f(\bar{X}) \in \mathbb{F}_p$ and $\bar{X} = (X_1, X_2, \dots, X_N)$
- $|f^r|$: number of input vectors \bar{X} such that $f(\bar{X}) = r$

Definition 1 A p -ary function $f(\bar{X})$ is balanced if and only if $|f^r| = p^{N-1}$ for all $r \in \mathbb{F}_p$.

Theorem 2 Let $f(\bar{X}) = g(f_1(\bar{X}_1), f_2(\bar{X}_2), \dots, f_K(\bar{X}_K), \bar{X}_{K+1})$, where $\bar{X} = (\bar{X}_1, \bar{X}_2, \dots, \bar{X}_{K+1})$ and $\bar{X}_i \cap \bar{X}_j = \emptyset$ for $1 \leq i, j \leq K+1$ and $i \neq j$. If p -ary functions $f_i(\bar{X}_i)$, $i = 1, 2, \dots, K$, and $g(U_1, U_2, \dots, U_K, \bar{X}_{K+1})$ are balanced, then $f(\bar{X})$ is also balanced.

\Rightarrow Construct a p -ary balanced function by the disjunctive composition of balanced functions by a balanced function

- Non-disjunctive composition of $f_1(\overline{X}_1)$ and $g(U, \overline{X}_2)$ such that $\overline{X}_1 \cap \overline{X}_2 \neq \emptyset$

Theorem 3 Let $f(\overline{X}) = g(f_1(\overline{X}_1), \overline{X}_1 \cap \overline{X}_2, \overline{X}_2 - \overline{X}_1)$, where $f_1(\overline{X}_1)$ is a p -ary function, $\overline{X} = \overline{X}_1 \cup \overline{X}_2$, $\overline{X}_2 - \overline{X}_1 \neq \emptyset$, and $|\overline{X}_2| = N$. For any combination \overline{d} of $\overline{X}_1 \cap \overline{X}_2$ and $r \in \mathbb{F}_p$, $|g(u, \overline{d}, \overline{X}_2 - \overline{X}_1)^r|$ is constant for $u \in \mathbb{F}_p$. Then, $f(\overline{X}_1 \cup \overline{X}_2)$ is balanced if and only if $|g(u, \overline{X}_2)^r| = p^{N-1}$ for all $r, u \in \mathbb{F}_p$.

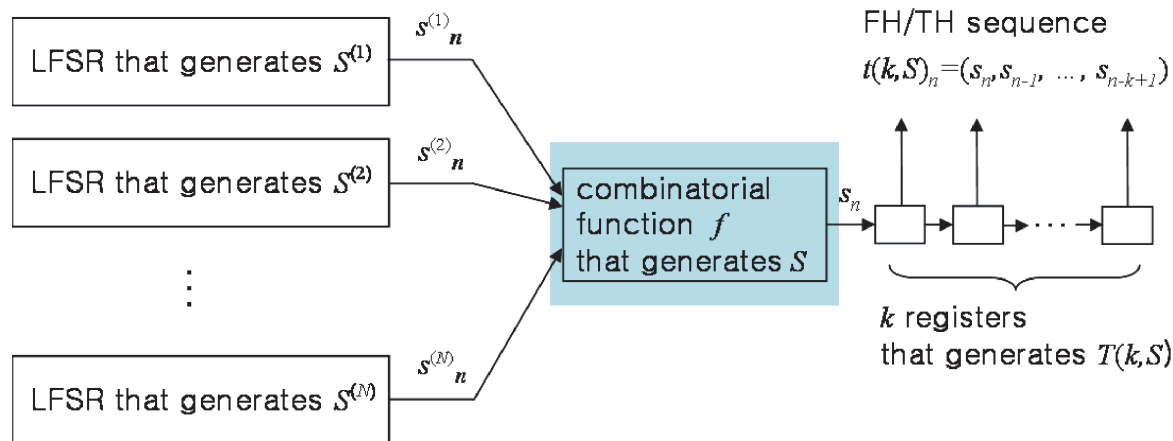
⇒ Characterize a non-disjunctive composition which produces a balanced p -ary functions

Corollary 1 Let $f_2(\overline{X}_2)$ be a p -ary linear function. Then, $f(\overline{X}) = f_1(\overline{X}_1) + f_2(\overline{X}_2)$ is balanced if $\overline{X}_2 - \overline{X}_1 \neq \emptyset$.

⇒ Construct a balanced p -ary function by simply adding a linear function with disjoint arguments

◇ Attack Scenario 3: Linear Attacks

- Attacker knows the structure of the FH/TH sequence generator except f
 - Obtain the linear approximate expression of the p -ary function f
- ⇒ f must have high nonlinearity!



- Perfect nonlinear p -ary function, i.e. a p -ary bent function, is optimum with respect to both the minimum distance to affine functions and therefore a resistance to the linear attack, but does not balanced
- ⇒ Construct a balanced p -ary function with suboptimal nonlinearity, i.e. a propagation

Definition 2 A p -ary function $f(\bar{X})$ satisfies the propagation of degree l if for all vector \bar{A} with $1 \leq W(\bar{A}) \leq l$

$$f(\bar{X} + \bar{A}) - f(\bar{X}) \quad (4)$$

is balanced, where $W(\cdot)$ is the Hamming weight.

- Strict avalanche criterion is the propagation of degree one
- Perfect nonlinearity is the propagation of degree N

- g : p -ary bent function, i.e. perfect nonlinear function, with N arguments

Theorem 4 Let a p -ary function f with $N + 2$ arguments be given by

$$f(X_1, X_2, \dots, X_{N+2}) = a_1X_1 + a_2X_2 + a_3g(X_3, X_4, \dots, X_{N+2}), \quad (5)$$

where a_1, a_2 , and a_3 are nonzero elements in \mathbb{F}_p . Then, $f(\overline{X})$ is balanced and satisfies the propagation for all nonzero vectors $\overline{A} \in \mathbb{F}_p^{N+2}$ with $\overline{A} \neq (c_1, c_2, 0, 0, \dots, 0)$.

Theorem 5 Let a p -ary function f with $N + 1$ arguments be given by

$$f(X_1, X_2, \dots, X_{N+1}) = a_1X_1 + a_2g(X_2, X_3, \dots, X_{N+1}), \quad (6)$$

where a_1 and a_2 are nonzero elements in \mathbb{F}_p . Then, $f(\overline{X})$ is balanced and satisfies the propagation for all nonzero vectors $\overline{A} \in \mathbb{F}_p^{N+1}$ with $\overline{A} \neq (c, 0, 0, \dots, 0)$.

⇒ Construct a balanced p -ary function which satisfies the propagation for the most of nonzero vectors from the bent function which is not balanced

Corollary 2 *Let a p -ary function f^* with $N + 1$ arguments be given by*

$$f^*(X_1, X_2, \dots, X_{N+1}) = a_1X_1 + g(a_2X_1 + b_2X_2, a_3X_1 + b_3X_3, \dots, a_{N+1}X_1 + b_{N+1}X_{N+1}), \quad (7)$$

where a_i and b_i , $i = 1, 2, \dots, N$, are nonzero elements in \mathbb{F}_p and $a_i + b_i = 0$. Then, $f^(\bar{X})$ is balanced and satisfies the propagation of degree N .*

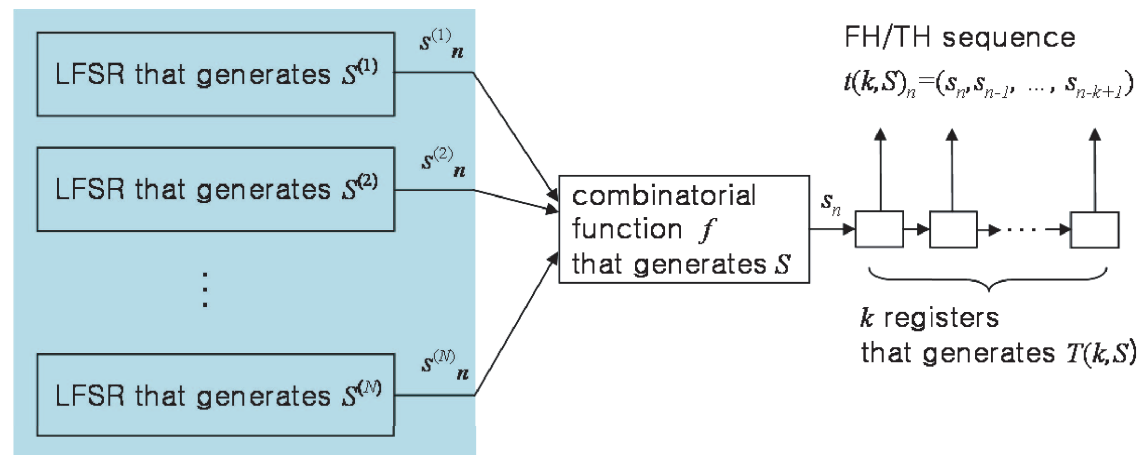
\Rightarrow Construct a balanced p -ary function which satisfies the suboptimum nonlinearity

◇ Attack Scenario 4: Correlation Attacks

- Attacker knows the structure of the FH/TH sequence generator except a key $K^{(i)}$, which determines the initial state of an i -th LFSR

- correlate the combinatorial function sequence S with the i -th LFSR's sequence $S^{(i)}$ to choose $K^{(i)}$

⇒ f must be correlation-immune!



- $X_i, i = 1, 2, \dots, N$: mutually independent discrete uniform RVs
- $Z = f(\bar{X})$: discrete RV produced by f

Definition 3 A p -ary function $f(\bar{X})$ is m -th order correlation-immune if $Z = f(\bar{X})$ is independent of every subset of m random variables chosen from X_1, X_2, \dots, X_N .

- The Fourier transform of $\sigma^{f(\bar{X})}$

$$F(\bar{\omega}) = \sum_{\bar{X} \in \mathbb{F}_p^N} \sigma^{f(\bar{X}) - \bar{\omega} \cdot \bar{X}}. \quad (8)$$

– $\sigma = e^{i\frac{2\pi}{p}}$, i.e. the primitive p -th root of unity in the complex field

Theorem 6 *If a p -ary function $f(\overline{X})$ is m -th order correlation-immune, then the Fourier transform of $\sigma^{f(\overline{X})}$ satisfies $F(\overline{\omega}) = 0$ for $1 \leq W(\overline{\omega}) \leq m$.*

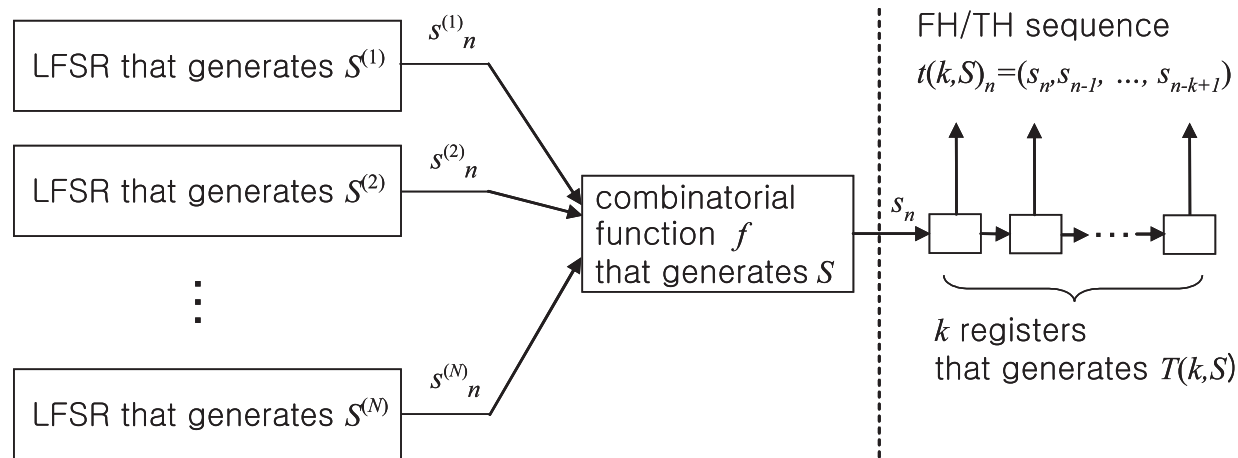
⇒ Necessary condition such that p -ary functions are correlation-immune by the Fourier transform

- X. Guo-Zhen *et al.* ('88) showed that the converse of Theorem 6 holds in binary case

◇ Other attacks

- Attacker may try an algebraic attack by multiplying the combinatorial function f by a well-chosen multivariate polynomial
 - ⇒ By increasing the order of F_p , the monomials of linear equations to be solved will considerably increase
 - ⇒ FH/TH sequence generator may be more resistant to the algebraic attack
- Attacker may try a transformation attack by simply transforming the combinatorial function f to a cryptographically weak one
 - ⇒ Verified that the followings are invariant under the group of all affine transformations
 - Minimum distance to affine functions
 - Minimum distance to functions with linear structures
 - Minimum distance to functions of nonlinear order k
 - Nonlinear order

Concluding Remarks



- BM attacks → Large LC
- Jamming → Balanced
- Linear attacks → High Nonlinearity
- Correlation attacks → High Order Correlation Immunity

⇒ No crypto system optimally satisfies the above all properties!