

# Constructions for favorable sequences family using Sidelnikov sequences

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# Motivation

- Synchronization, Distinguishing users, Interference minimization, Higher resolution RADAR,...
- 1969 – Sidelnikov (autocorrelation property only)
- 2007~Present – Sequence **families** from Sidelnikov sequences
- **Purpose**
  - Sequence families with larger size
  - Sequence families with lower correlation magnitude

# Brief History and Main Contribution

(SONG-07) Sequence family constructions from Sidelnikov sequences have been considered, **by using constant multiples**

(NO-08, YANG-09) Family size increased **by additionally using shift-and-adds**

(GONG-10) **2-D array** structure of size  $(q - 1) \times \left(\frac{q^2 - 1}{q - 1}\right)$

(KIM-10) 2-D array structure of size  $(q - 1) \times \left(\frac{q^d - 1}{q - 1}\right)$  with  $(d, q - 1) = 1$

(This paper) **2-D array structure of size  $(q - 1) \times \left(\frac{q^d - 1}{q - 1}\right)$**

**without  $(d, q - 1) = 1$**

**maintaining the family size “comparable” to the above  
and the correlation bound the same as the above**

# Notation

- $p$  : prime
- $q = p^n$  : prime power or prime
- $GF(q)$  : finite field of order  $q$
- $GF(q^d)$  : finite field of order  $q^d$  with  $2 \leq d < (\sqrt{q} - \frac{2}{\sqrt{q}} + 1)/2$
- $\alpha$  : arbitrary but fixed primitive element of  $GF(q^d)$
- $\beta = \alpha^{(q^d-1)/(q-1)}$  : the primitive element of  $GF(q)$
- $\omega_M$  : complex  $M^{\text{th}}$  root of unity, where  $M|q-1$
- $\psi$  : the **multiplicative character of order  $M$**  from  $GF(q)$ , defined by

$$\psi(x) = \exp\left(\frac{2\pi i}{M} \log_{\beta} x\right) = \omega_M^{\log_{\beta} x}$$

and

$$\psi(0) = 1.$$

# Sidelnikov Sequences of period $q-1$

- $GF(q)$  = finite field of size  $q$  where  $q = p^n$
- $\beta$  = primitive element of  $GF(q)$
- $M$  = a divisor of  $q - 1$
- **Coset Partition**
  - ✓  $D_0$  : the set of  $M$ -th powers in  $GF(q)^*$
  - ✓  $D_k = \beta^k \cdot D_0$  for  $0 \leq k \leq M-1$
- **An  $M$ -ary Sidelnikov sequence of period  $q - 1$  is defined as, for  $t = 0, 1, 2, \dots, q-2$ ,**

$$s(t) = \begin{cases} 0, & \text{if } \beta^t + 1 = 0 \\ k, & \text{if } \beta^t + 1 \in D_k \end{cases}$$

Sidelnikov-69

**(Example)**  $p = q = 13$ ,  $M = 3$ ,  $\beta = 2$

- $D_0 = 2^0 \cdot D_0 = \{1, 5, 8, 12\} =$  cubic residues mod 13
- $D_1 = 2^1 \cdot D_0 = \{2, 10, 3, 11\}$
- $D_2 = 2^2 \cdot D_0 = \{4, 7, 6, 9\}$

t	0	1	2	3	4	5	6	7	8	9	10	11
$\beta^t = 2^t$	1	2	4	8	3	6	12	11	9	5	10	7
$\beta^t + 1$	2	3	5	9	4	7	0	12	10	6	11	8
belongs to	$D_1$	$D_1$					?		$D_1$		$D_1$	
$S(t)$	1	1	0	2	2	2	0	0	1	2	1	0

$$s(t) \equiv \log_{\beta}(\beta^t + 1) \pmod{12}$$

Is this **ADDONE table** of the finite field GF(13)?

t	$\beta^t$	$\beta^t + 1$	$\log_{\beta}(\beta^t + 1) \pmod{12}$	$\pmod{3}$
*	0	1	0	0
0	1	2	1	1
1	$\beta = 2$	3	4	1
2	$\beta^2 = 4$	5	9	0
3	$\beta^3 = 8$	9	8	2
4	$\beta^4 = 16 = 3$	4	2	2
5	$\beta^5 = 6$	7	11	2
6	$\beta^6 = 12$	0		
7	11	12	6	0
8	9	10	10	1
9	5	6	5	2
10	10	11	7	0
11	7	8	3	0

# Sidelnikov Sequences (alternative definition)

The M-ary Sidelnikov sequence  $s(t)$  of period  $q - 1$  is defined by, for  $0 \leq t \leq q - 2$ ,

$$s(t) \equiv \log_{\beta}(\beta^t + 1) \pmod{M},$$

where we assume that  $\log_{\beta}(0) = 0$ .



## **2-D array** structure of size $(q - 1) \times \left(\frac{q^2 - 1}{q - 1}\right)$

Gong-10

Write a **Sidelnikov sequence of period  $q^2 - 1$**  as an array of size  $(q - 1) \times (q + 1)$ .

- 1) the first column sequence is always a **constant-multiple** of a **Sidelnikov sequence of period  $q - 1$** .
- 2) other column sequences of period  **$q - 1$**  (not necessarily Sidelnikov sequences) have GOOD correlations
  - NOT ONLY with each other
  - BUT ALSO with previously constructed family members of period  **$q - 1$**  **if they are not cyclically equivalent to each other.**

→ **Nontrivial increase in the family size**

## Theorem (Gong-10)

Let  $\mathcal{U}$  be the set of sequences of period  $q - 1$  given as follows:

$$\begin{aligned} \mathcal{U} = & \{cs(t) \mid 1 \leq c \leq M - 1\} \\ & \cup \left\{ c_0s(t) + c_1s(t + l_1) \mid 1 \leq l_1 \leq \left\lfloor \frac{q-1}{2} \right\rfloor \right\} \\ & \cup \left\{ c_2v_{l_2}(t) \mid 1 \leq l_2 \leq \left\lfloor \frac{q}{2} \right\rfloor \right\}. \end{aligned}$$

Then,

- ① The maximum correlation of  $\mathcal{U}$  is upper bounded by  $3\sqrt{q} + 5$ .
- ② This family have size  $\frac{M(M-1)(q-2)}{2} + M - 1$ .

If  $v_l(t)$  is the column sequence of the  $(q-1) \times (q+1)$  array of a Sidelnikov sequence of period  $q^2 - 1$  given by

$$\log_{\alpha}(\alpha^t + 1) \pmod{M},$$

Then  $s(t)$  must be the Sidelnikov sequence of period  $q - 1$  given by

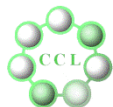
$$\log_{\beta}(\beta^t + 1) \pmod{M} \text{ where } \beta = \alpha^{(q^2-1)/(q-1)} = \alpha^{q+1}.$$



# Kim's Generalization

**D.S. Kim, 2010:** A family of sequences with large size and good correlation property arising from M-ary Sidelnikov sequences of period  $q^d - 1$ ,  
[arXiv:1009.1225v1](https://arxiv.org/abs/1009.1225v1) [cs.IT]

- Why not considering a sidelnikov sequence of period  $q^3 - 1$ ,  $q^4 - 1$  or  $q^d - 1$  in general in the first place and then using **an array of size**  $(q - 1) \times \left(\frac{q^d - 1}{q - 1}\right)$  ?



# Key Observation – Theorem and remark

- To analyze the column sequences of the array, one has to represent **the Sidelnikov sequence of period  $q^d - 1$**  using a **primitive element of  $GF(q)$** .

- **THEOREM:**

Let  $\alpha$  be a primitive element of  $GF(q^d)$ .

$\beta = \alpha^{(q^d-1)/(q-1)}$  : the primitive element of  $GF(q)$

For period  $q^d - 1$ , we have

$$s(t) \equiv \log_{\beta} N(\alpha^t + 1) \pmod{M}.$$

- **REMARK:** when  $d = 2$ , it becomes that

$$\begin{aligned} N(\alpha^t + 1) &= (\alpha^t + 1)^{\frac{q^2-1}{q-1}} = (\alpha^t + 1)^{q+1} = (\alpha^t + 1)^q (\alpha^t + 1) \\ &= \alpha^{(q+1)t} + \alpha^{qt} + \alpha^t + 1 = \beta^t + 1 + \text{Tr}(\alpha^t) \end{aligned}$$

# Key Observation - proof

- Let  $\alpha$  be a primitive element of  $GF(q^d)$ .
- For period  $q^d - 1$ , denote  $y(t) \equiv \log_{\alpha}(\alpha^t + 1) \pmod{q^d - 1}$ .
- Assume that  $N(\alpha^t + 1) \neq 0$ . Then  $N(\alpha^t + 1) = \beta^{x(t)}$ .
- This gives:

$$\begin{aligned}\frac{q^d-1}{q-1}y(t) &\equiv \frac{q^d-1}{q-1}\log_{\alpha}(\alpha^t + 1) \equiv \log_{\alpha}(\alpha^t + 1)^{\frac{q^d-1}{q-1}} \\ &\equiv \log_{\alpha} N(\alpha^t + 1) \equiv \log_{\alpha} \beta^{x(t)} \equiv \log_{\alpha} \alpha^{\frac{q^d-1}{q-1}x(t)} \\ &\equiv \frac{q^d-1}{q-1}x(t) \pmod{q^d - 1}\end{aligned}$$

- Since  $\left(\frac{q^d-1}{q-1}, q^d - 1\right) = \frac{q^d-1}{q-1}$ , we have:  
 $x(t) \equiv y(t) \equiv \log_{\beta} N(\alpha^t + 1) \pmod{q - 1}$  (and hence, mod  $M$ ).

# Columns of the Array Structure Kim-10

Let  $d \geq 2$ , and write a Sidelnikov sequence of period  $q^d - 1$  as an array of size  $(q - 1) \times \left(\frac{q^d - 1}{q - 1}\right)$ . Then, the column sequences  $v_l(t)$  of the array can be represented as

$$v_l(t) \equiv \log_{\beta} f_l(\beta^t) \pmod{M}$$

where  $f_l(x) = N(\alpha^l x + 1)$ .

■ Proof:

$$v_l(t) \equiv s \left( \frac{q^d - 1}{q - 1} t + l \right) \equiv \log_{\beta} N\left(\alpha^{\frac{q^d - 1}{q - 1} t + l} + 1\right) \equiv \log_{\beta} N(\alpha^l \beta^t + 1)$$



# Cyclic Equivalence of Columns

Kim-10

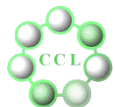
Let  $d \geq 2$ , and write a Sidelnikov sequence of period  $q^d - 1$  as an array of size  $(q - 1) \times \left(\frac{q^d - 1}{q - 1}\right)$ . The column sequences are denoted by  $v_l(t)$  for  $l = 0, 1, 2, \dots, \frac{q^d - 1}{q - 1} - 1$ .

Then,

$$(1) \text{ For } l = 0, v_0(t) \equiv d \log_{\beta}(\beta^t + 1) \pmod{M}$$

$$(2) \text{ For } l \neq 0, v_l(t) \equiv v_{lq}(t) \pmod{M}$$

where  $lq$  is computed mod  $\frac{q^d - 1}{q - 1}$



# Family of Column Sequences

Kim-10

Assume that  $(d, q - 1) = 1$ ,  $d < \frac{\sqrt{q} - \frac{2}{\sqrt{q}} + 1}{2}$ .

Construct a family

$$\Sigma = \{ cv_l(t) \mid 1 \leq c < M \text{ and } l \in \Lambda \setminus \{0\} \}$$

where  $\Lambda$  is the set of all the representatives

of  $q$ -cyclotomic cosets mod  $\frac{q^d - 1}{q - 1}$ .

Then

①  $|C_{max}(\Sigma)| \leq (2d - 1)\sqrt{q} + 1$ .

② The asymptotic size of the family is  $\frac{(M-1)q^{d-1}}{d}$  as  $q \rightarrow \infty$ .





# Importance of $\gcd(d, q - 1)$

q	$\gcd(q - 1, 3)$	$\gcd(q - 1, 4)$	q	$\gcd(q - 1, 3)$	$\gcd(q - 1, 4)$
31	3	X	61	3	4
37	3	X	64	3	1
41	1	X	67	3	2
43	3	X	71	1	2
47	1	X	73	3	4
49	3	X	79	3	2
53	1	4	81	1	4
59	1	2	83	1	2

# Can we remove the condition $(d, q-1)=1$ ?

■  $q$ -cyclotomic coset mod  $q^d - 1$

⇒ Natural

■  $q$ -cyclotomic coset mod  $\frac{q^d - 1}{q - 1}$

⇒ Define  $\Lambda \setminus \{0\}$  Kim-10

■  $q$ -cyclotomic coset mod  $\frac{q^d - 1}{q - 1}$  with full size  $d$  ⇒ Define  $\Lambda' \setminus \{0\}$

↳ Key Idea

■ Example (  $q = 7, d = 2$  )

● 7-cyclotomic coset mod 48

✓ There exists 23 cosets of size 2 except  $\{0\}, \{7\}$

● 7-cyclotomic coset mod 8

✓  $\{0\}, \{1,7\}, \{2,6\}, \{3,5\}, \{4\}$

⇒  $\Lambda \setminus \{0\} = \{1,2,3,4\}$

● 7-cyclotomic coset mod 8 of size  $d (= 2)$

✓  $\{1,7\}, \{2,6\}, \{3,5\}$

⇒  $\Lambda' \setminus \{0\} = \{1,2,3\}$

# MAIN THEOREM

For  $2 \leq d < \frac{\sqrt{q} - \frac{2}{\sqrt{q}} + 1}{2}$ , the sequences in the family

$$\Sigma' = \{cv_l(t) \mid 1 \leq c < M, l \in \Lambda' \setminus \{0\}\}$$

are **cyclically inequivalent**.

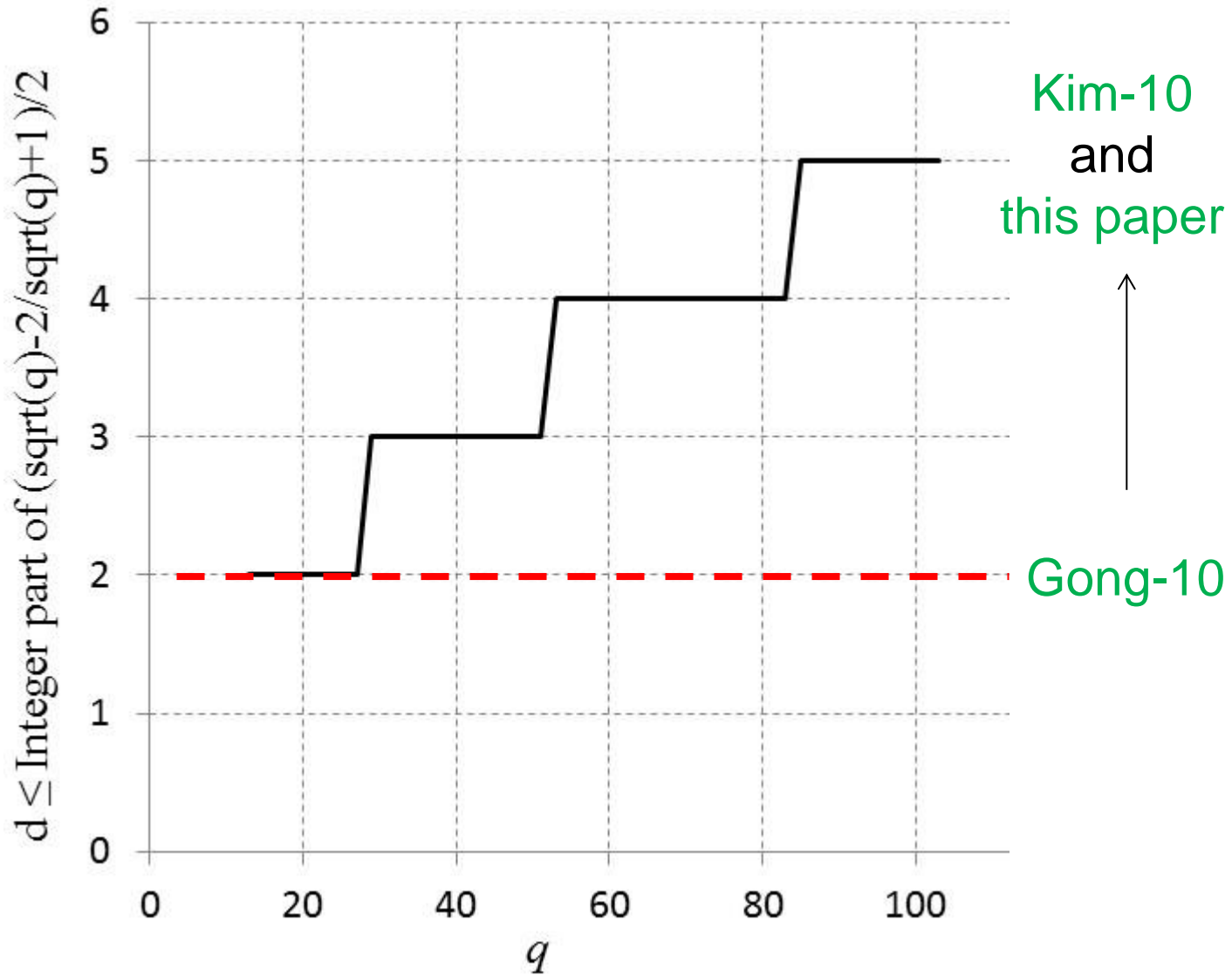
Further, we have

$$|C_{max}(\Sigma')| \leq (2d - 1)\sqrt{q} + 1,$$

and

$$(M - 1)|\Lambda'| = |\Sigma'| \cong |\Sigma| = (M - 1)|\Lambda|$$

# Range of $d$



# Example for case $(q - 1, d) \neq 1$

Let  $q = 7, M = 6, d = 3$ . Consider finite field  $GF(343)$ .

Then 6-ary Sidelnikov sequence  $s(t)$  of period 48 is represented by  $6 \times 57$  array as follows:

$$s(t) = [v_0(t), v_1(t), \dots, v_{55}(t), v_{56}(t)]$$

0	4	0	1	5	4	3	4	0	4	1	5	5	0	0	3	4	2	4	3	2	1	3	0	1	1	4	0	5	4	0	3	4	2	0	4	3	2	1	2	1	2	3	3	2	3	0	5	3	4	0	3	3	4	3	3	0
3	0	5	4	5	3	4	0	1	5	1	4	5	1	5	2	2	3	5	5	5	4	4	1	4	4	1	5	5	0	2	2	4	3	0	3	5	2	2	5	5	0	4	4	0	0	2	2	3	0	1	2	4	0	5	4	1
3	1	3	1	2	2	5	1	5	2	5	2	4	1	3	5	1	3	0	3	4	1	1	0	4	5	2	5	2	0	4	0	1	1	1	2	1	3	1	3	3	5	5	2	1	2	2	0	2	1	5	5	1	0	1	2	5
0	3	1	1	1	3	2	3	0	2	1	4	5	5	1	5	0	5	0	5	2	1	3	3	4	5	5	4	1	4	2	0	4	4	1	3	4	2	2	0	4	3	2	1	0	4	3	1	5	3	0	5	3	4	4	1	0
3	2	0	2	4	3	2	2	2	0	0	1	0	1	0	1	4	4	5	3	4	2	1	5	3	5	4	5	4	4	5	2	0	1	5	3	4	0	1	2	1	1	2	0	3	2	2	0	5	2	2	1	1	4	4	0	2
0	4	2	3	5	5	0	4	3	3	0	2	0	0	2	3	3	3	5	5	1	3	5	2	5	2	2	0	5	1	3	2	0	1	1	5	4	0	2	4	3	0	0	4	5	5	0	3	1	4	3	3	5	1	4	4	3

- $v_l(t) = v_{lq}(t)$ .
- In above figure,  $v_{19}(t)$  and  $v_{38}(t)$  are sequences of period 2.
- In general, we can not use all the representatives since  $(q - 1, d) \neq 1$ .

# Proof of Main Theorem

Suppose that  $c_1 v_{l_1}(t) = c_2 v_{l_2}(t + \tau)$  for some  $\tau$  ( $0 \leq \tau < q - 1$ ).

Then,

$$\begin{aligned} q - 1 &= \sum_{t=0}^{q-2} \omega_M^{c_1 v_{l_1}(t) - c_2 v_{l_2}(t+\tau)} = \sum_{t=0}^{q-2} \psi^{c_1}(f_{l_1}(\beta^t)) \psi^{M-c_2}(f_{l_2}(\beta^{t+\tau})) \\ &= \sum_{x \in GF(q)} \psi_1(\beta^{l_1} p_{l_1}(x)) \psi_2(\beta^{l_2} \cdot \beta^{\tau d} \cdot \beta^{-\tau d} p_{l_2}(\beta^\tau x)) - 1 \end{aligned}$$

where  $\psi_1 = \psi^{c_1}$  and  $\psi_2 = \psi^{M-c_2}$  and  $p_l(x) = \beta^{-l} f_l(x)$ .

## ◆ Claim

$$\left| \sum_{x \in GF(q)} \psi_1(\beta^{l_1} p_{l_1}(x)) \psi_2(\beta^{l_2} \cdot \beta^{\tau d} \cdot \beta^{-\tau d} p_{l_2}(\beta^\tau x)) \right| \stackrel{?? \text{ Weil bound}}{\leq} (2d - 1)\sqrt{q}$$

If the above claim is true, then  $q - 1 \leq (2d - 1)\sqrt{q} + 1$ .

This is impossible because of our assumption  $d < (\sqrt{q} - \frac{\sqrt{q}}{2} + 1)/2$ .

# Weil bound

Wan-97/Gong-10/Kim-10

Let  $f_1(x), \dots, f_m(x)$  be **distinct monic irreducible** polynomial over  $\text{GF}(q)$  with degrees  $d_1, \dots, d_m$ , with  $e_j$  the **number of distinct roots** in  $\text{GF}(q)$  of  $f_j(x)$ .

Let  $\psi_1, \dots, \psi_m$  be **nontrivial multiplicative characters** of  $\text{GF}(q)$ , with  $\psi_j(0) = 1$ .

Then **for every**  $a_i \in \mathbb{F}_q \setminus \{0\}$ , we have the estimate

$$\left| \sum_{x \in \mathbb{F}_q} \psi_1(a_1 f_1(x)) \cdots \psi_m(a_m f_m(x)) \right| \leq \left( \sum_{i=1}^m d_i - 1 \right) \sqrt{q} + \sum_{i=1}^m e_i.$$

For the proof of claim, we have to show that the following statement is true:

- Let  $l_1, l_2$  be elements in  $\Lambda' \setminus \{0\}$ , and let  $\tau (0 \leq \tau < q - 1)$  be an integer. Then  $p_{l_1}(x)$  and  $\beta^{-\tau d} p_{l_2}(\beta^\tau x)$  are distinct irreducible polynomials over  $GF(q)$ , unless  $l_1 = l_2$  and  $\tau = 0$ .

Note that  $p_l(x)$  **is alternative form of**  $f_l(x) = N(\alpha^l x + 1)$ .

For each  $l \left( 0 \leq l < \frac{q^d - 1}{q - 1} \right)$ ,

$$\begin{aligned} f_l(x) &= \beta^l N(x + \alpha^{-l}) \\ &= \beta^l (x + \alpha^{-l})(x + \alpha^{-lq}) \cdots (x + \alpha^{-lq^{d-1}}) \\ &= \beta^l p_l(x)^{d/d_l} \end{aligned}$$

where  $p_l(x)$  is the minimal polynomial over  $GF(q)$  of  $-\alpha^{-l}$  of degree  $d_l$ . And if  $l \in \Lambda'$ , then  $d = d_l = m_l$ . So,  $f_l(x) = \beta^l p_l(x)$ .



# Proof of the statement

- Assume that they are the same.
- $\beta^{-\tau d} p_{l_2}(\beta^\tau x) = (x + \alpha^{-l_2} \beta^{-\tau})(x + \alpha^{-l_2 q} \beta^{-\tau}) \cdots (x + \alpha^{-l_2 q^{d-1}} \beta^{-\tau})$  imply  $\alpha^{-l_1} = \alpha^{-l_2 q^s} \beta^{-\tau}$  for some nonnegative integer  $s$  ( $s < d$ ).
- Hence  $l_1 \equiv l_2 q^s + \tau \left( \frac{q^d - 1}{q - 1} \right) \pmod{q^d - 1}$ .
- So,  $l_1 \equiv l_2 q^s \pmod{\frac{q^d - 1}{q - 1}}$ , and  $l_1 = l_2$ .
- Now  $l_1 \equiv l_1 q^s \pmod{\frac{q^d - 1}{q - 1}}$ , and hence  $s = 0$  since  $m_{l_1} = d$ .
- In all,  $l_1 \equiv l_1 + \tau \left( \frac{q^d - 1}{q - 1} \right) \pmod{q^d - 1}$ .
- This implies  $q - 1 \mid \tau$ , and therefore  $\tau = 0$ .

# Remaining steps for the family construction are straightforward

$$\Lambda \longrightarrow \Lambda'$$

by removing the representatives of  
the cosets of size **smaller** than  $d$

 $\Sigma$  $\Sigma'$  $\Sigma^{\text{ext}}$  $\Sigma'^{\text{ext}}$ 

by adding  
**the constant multiples**  
of  
**the Sidelnikov sequence**  
**of period  $q-1$  using  $\beta$**   
as well as  
some of their  
**shift-and-add's**

EXAMPLE FOR  $q = 199$ ,  $L = q - 1 = 198$  FOR  $M = 2$  AND  $M = 198$

$M$	$d$	$(d, q - 1)$	$ \Lambda $ or $ \Lambda' $	$ \Sigma $ or $ \Sigma' $	$ \Sigma^{ext} $ or $ \Sigma'^{ext} $	$(2d - 1)\sqrt{q} + 1$ or $3\sqrt{q} + 3$
2	2	2	99	99	198	45.32
	3	3	13266	13266	13365	71.53
	4	2	1980000	1980000	1980099	99.75
	5	1	315231920	315231920	315232019	127.96
	6	6	52275946734	52275946734	52275946833	156.17
198	2	2	99	19503	3842288	45.32
	3	3	13266	2613402	6436187	71.53
	4	2	1980000	390060000	393882785	99.75
	5	1	315231920	62100688240	62104511025	127.96
	6	6	52275946734	10298361506598	10298365329383	156.17

# Summary

Author	Family size	Correlation bound	Method
Sidelnikov '69	1	4 (regardless of q and M)	By construction
Song '07	M - 1	$\sqrt{q} + 3$	Constant Multiple
No & Yang '08-'09	$M - 1 + \frac{(M-1)^2(q-1)}{2} + 0$	$3\sqrt{q} + 5$	+ Shift-and-add
Gong '10	$\left( M - 1 + \frac{(M-1)^2(q-1)}{2} \right) + \frac{(M-1)(q-1)}{2}$	$3\sqrt{q} + 5$	+ Column sequence
Kim '10	$\approx \left( M - 1 + \frac{(M-1)^2(q-1)}{2} \right) + \frac{(M-1)q^{d-1}}{d}$	$(2d-1)\sqrt{q} + 1$	Extension of Gong, <b>With (d,q-1)=1</b>
IT Trans submission	comparable	comparable	Variation of Kim, <b>Without (d,q-1)=1</b>





Thanks for your attention...



Any questions?