

# Alphabet-Dependent Upper Bounds for Locally Repairable Codes with Joint Locality

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# Outline



- Motivation (joint locality)

- Alphabet-dependent bounds

- Singleton-like bounds

- Graph-based BLRCs

- Conclusions





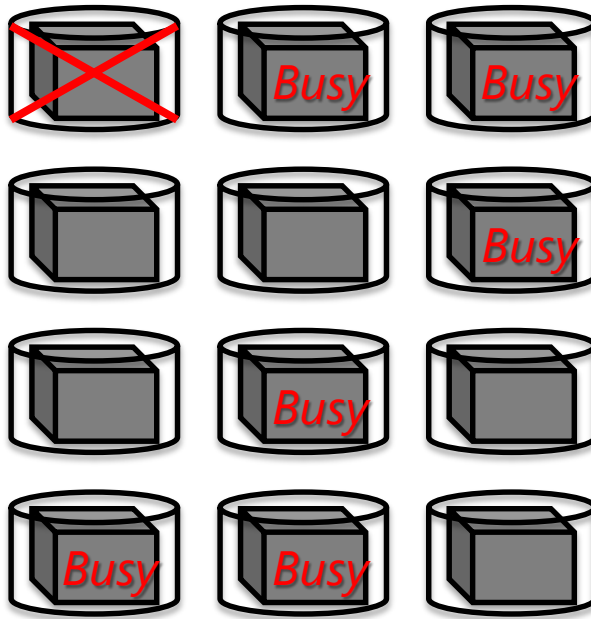
# Outline



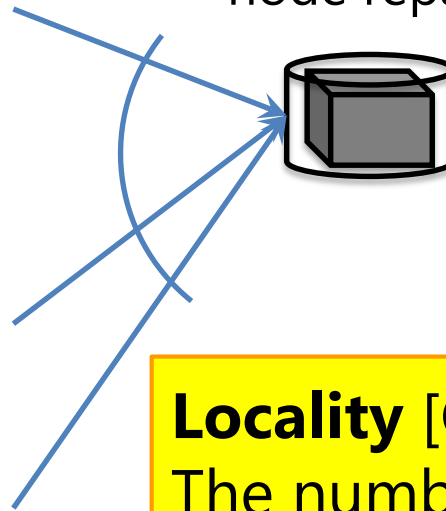
- Motivation (joint locality)
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- Locality in distributed storage systems

node failure



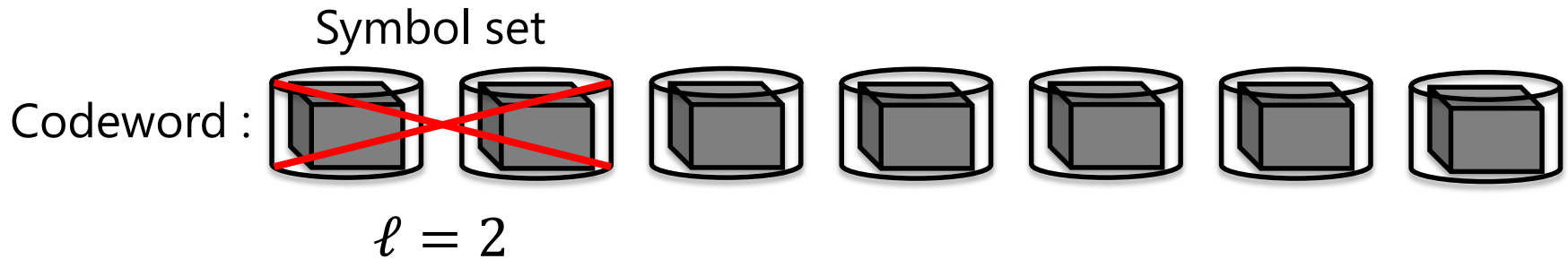
node repair



**Locality** [Gopalan et al. 12]  
 The number of nodes  
 accessed to repair  
**one node failure**

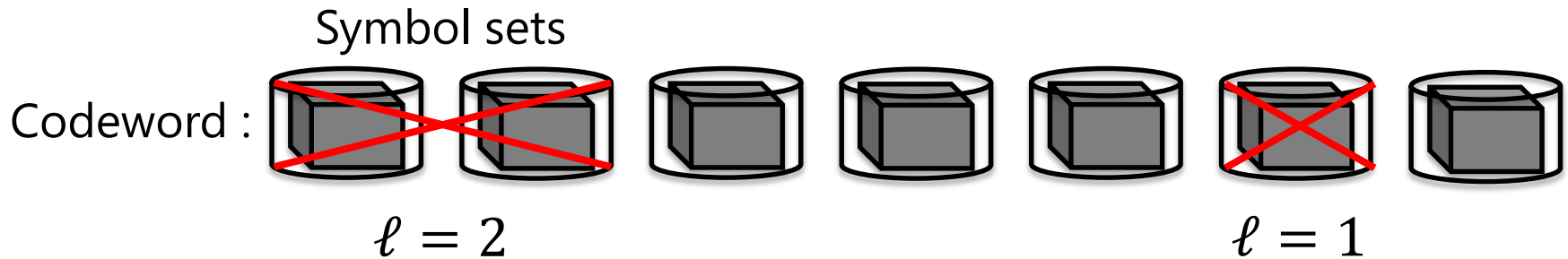
- Generalized Definition of locality

**$\ell$ -locality** ( $r_\ell$ ) [Rawat et al. 14]  
 locality for  $\ell$  symbols repair (single value of  $\ell$ )



- Generalized Definition of locality

**Joint locality**  $(r_1, r_2, \dots)$  [Kim et al. 15]  
 a set of localities for  $\ell$  symbols repair (multiple values of  $\ell$ )



- Generalized Definition of locality


**Joint locality**  $(r_1, r_2, \dots)$  [Kim et al. 15]


a set of localities for  $\ell$  symbols repair (multiple values of  $\ell$ )

Which one is better?  $C_1$ ? or  $C_2$ ?

$$G_1 = \begin{pmatrix} 1 & 0 & 0 & 0 & 0 & 1 & 1 & 1 & 1 & 0 & 1 \\ 0 & 1 & 0 & 0 & 0 & 1 & 1 & 1 & 0 & 1 & 1 \\ 0 & 0 & 1 & 0 & 0 & 1 & 1 & 0 & 1 & 1 & 1 \\ 0 & 0 & 0 & 1 & 0 & 1 & 0 & 1 & 1 & 1 & 1 \\ 0 & 0 & 0 & 0 & 1 & 0 & 1 & 1 & 1 & 1 & 1 \end{pmatrix}$$


$$G_2 = \begin{pmatrix} 1 & 0 & 0 & 0 & 0 & 1 & 1 & 1 & 0 & 1 & 0 \\ 0 & 1 & 0 & 0 & 0 & 1 & 1 & 0 & 0 & 1 & 1 \\ 0 & 0 & 1 & 0 & 0 & 1 & 0 & 0 & 1 & 1 & 1 \\ 0 & 0 & 0 & 1 & 0 & 0 & 0 & 1 & 1 & 1 & 1 \\ 0 & 0 & 0 & 0 & 1 & 0 & 1 & 1 & 1 & 1 & 0 \end{pmatrix}$$


  $r_1 = 2$

  $r_2 = 5$

<

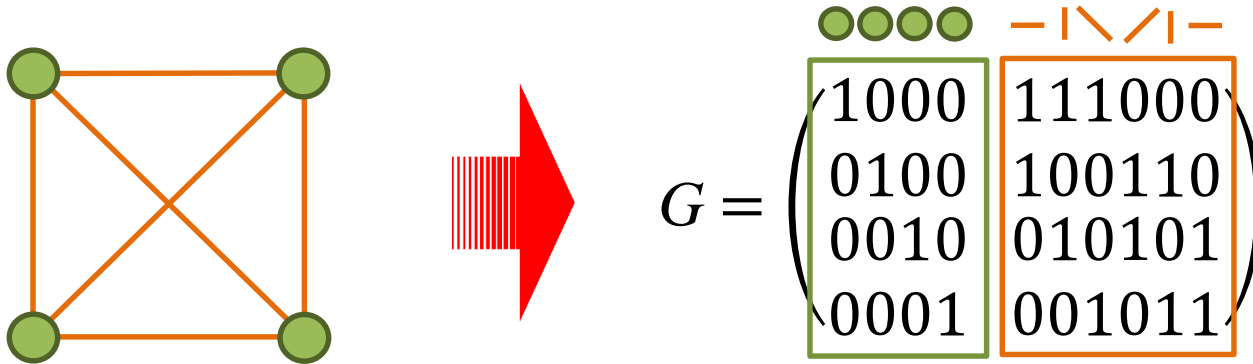
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$r_1 = 3$  

$r_2 = 4$  

- Construction of BLRCs with good joint locality
  - Graph-based construction [Kim et al. 15]

Ex)



Joint locality :  $(r_1, r_2, r_3) = (2, 3, 4)$



**Any bounds ?**





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# Alphabet-dependent Bounds



## Theorem 1 [Proposed]

Let  $\mathcal{C}$  be an  $(n, k, d)_q$  code with joint locality  $\{r_l | 1 \leq l \leq d - 1\}$ .  
Then  $\mathcal{C}$  satisfies

$$k \leq \min_{l_{[z]}} \left[ J_z + k_{opt}^{(q)}(n - I_z, d) \right],$$

where

- $z$  is a positive integer such that  $\sum_{i=1}^z r_{l_i} < k$ ,
- $l_{[z]} = \{l_i | 1 \leq l_i \leq d - 1, 1 \leq i \leq z\}$ ,
- $J_z = \sum_{i=1}^z r_{l_i}$ ,
- $I_z = \sum_{i=1}^z (r_{l_i} + l_i)$ .



# Alphabet-dependent Bounds



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$\neq$  Cadambe et. al.'s bound

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# Alphabet-dependent Bounds



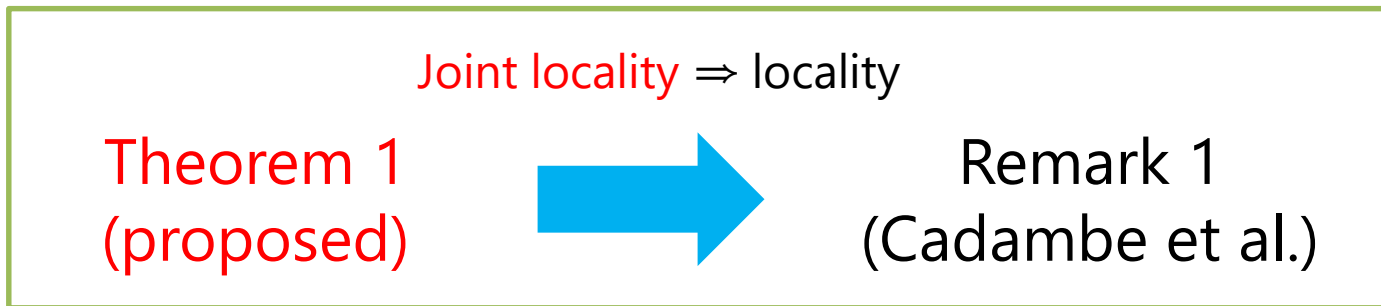
Remark 1 [Cadambe et al. 13]

Let  $\mathcal{C}$  be an  $(n, k, d)_q$  code with locality  $r$ . Then  $\mathcal{C}$  satisfies

$$k \leq \min_z \left[ zr + k_{opt}^{(q)}(n - z(r + 1), d) \right],$$

where

- $z$  is a positive integer such that  $z \leq \left\lceil \frac{k}{r} \right\rceil - 1$  ( $\Leftrightarrow zr < k$ ).





# Alphabet-dependent Bounds



## Theorem 1 [Proposed] - example

Consider a  $[63, 6, 32]_2$  simplex code with joint locality  $\{r_1 = 2, r_2 = 3, \dots\}$ .

$$k \leq \min_{l_{[z]}} \left[ J_z + k_{opt}^{(2)}(n - I_z, d) \right],$$



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**Possible values** ( $\sum_{i=1}^z r_{l_i} < k = 6$ )






# Alphabet-dependent Bounds



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Possible values ( $\sum_{i=1}^z r_{l_i} < k = 6$ )				
$z$	1	1	2	2
$l_{[z]}$	{1}	{2}	{1, 1}	{1, 2}



# Alphabet-dependent Bounds



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$z$	1	1	2	2
$l_{[z]}$	{1}	{2}	{1, 1}	{1, 2}
$J_z$	2	3	4	5
$I_z$	3	5	6	8



# Alphabet-dependent Bounds



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$z$	1	1	2	2
$l_{[z]}$	{1}	{2}	{1, 1}	{1, 2}
$J_z$	2	3	4	5
$I_z$	3	5	6	8

Using Plotkin bound for  $k_{opt}^{(2)}(\cdot)$ , we have

$$k \leq 6$$



# Alphabet-dependent Bounds



## Theorem 2 [Proposed]

Let  $\mathcal{C}$  be an  $(n, k, d)_q$  code with joint locality  $\{r_l | 1 \leq l \leq d - 1\}$ .  
Then  $\mathcal{C}$  satisfies

$$d \leq \min_{l_{[z]}} \left[ d_{opt}^{(q)}(n - I_z, k - J_z) \right],$$

where

- $z$  is a positive integer such that  $\sum_{i=1}^z r_{l_i} < k$ ,
- $l_{[z]} = \{l_i | 1 \leq l_i \leq d - 1, 1 \leq i \leq z\}$ ,
- $J_z = \sum_{i=1}^z r_{l_i}$ ,
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# Singleton-like Bounds



## Corollary 1 [Proposed]

Let  $\mathcal{C}$  be an  $(n, k, d)_q$  code with joint locality  $\{r_l | 1 \leq l \leq d - 1\}$ .  
Then  $\mathcal{C}$  satisfies

$$d \leq n - k + 1 - \max_{\mathbf{l}_{[z]}} \sum_{i=1}^z l_i,$$

No limit  
to the field size

where

- $z$  is a positive integer such that  $\sum_{i=1}^z r_{l_i} < k$ ,
- $\mathbf{l}_{[z]} = \{l_i | 1 \leq l_i \leq d - 1, 1 \leq i \leq z\}$ .

## Corollary 1 [Proposed]

$$d \leq n - k + 1 - \max_{l_{[z]}} \sum_{i=1}^z l_i$$

$$\begin{aligned} l_i &= l \\ r_{l_i} &= r_l \end{aligned} \quad \swarrow \text{\textit{l}-locality}$$

$$\begin{aligned} l_i &= 1 \\ r_{l_i} &= r \end{aligned} \quad \downarrow \text{locality}$$

$$\begin{aligned} l_i &= 1 \\ r_{l_i} &= k \end{aligned} \quad \swarrow$$

[Rawat et al. 14]

$$d \leq n - k + 1 - l \left( \left\lceil \frac{k}{r_l} \right\rceil - 1 \right)$$

[Gopalan et al. 14]

$$d \leq n - k - \left\lceil \frac{k}{r} \right\rceil + 2$$

[Singleton bound]

$$d \leq n - k + 1$$

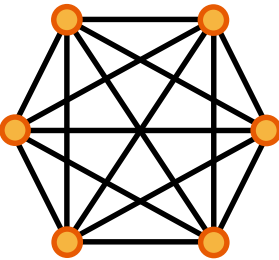
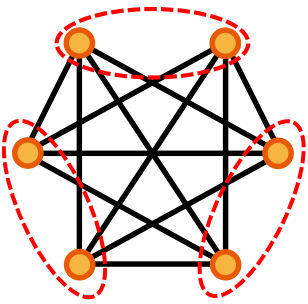


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Codes	Joint locality	Graph	Generator matrix
$[\frac{1}{2}k(k+1), k, k]_2$ Complete graph code [Kim et al. 15]	$(r_1, r_2)_{\text{all}} = (2, 3)$ $(r_1, r_2)_{\text{info}} = (2, 3)$		$\begin{pmatrix} 1 & 0 & 0 & 0 & 0 & 0 & 1 & 1 & 0 & 1 & 0 & 0 & 1 & 0 & 0 & 0 & 1 & 0 & 0 & 0 & 1 & 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 & 0 & 1 & 0 & 1 & 0 & 1 & 0 & 0 & 1 & 0 & 0 & 0 & 1 & 0 & 0 & 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 & 0 & 0 & 1 & 1 & 0 & 0 & 1 & 0 & 0 & 1 & 0 & 0 & 0 & 1 & 0 & 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 & 0 & 0 & 0 & 0 & 1 & 1 & 1 & 0 & 0 & 0 & 1 & 0 & 0 & 0 & 1 & 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 & 1 & 1 & 1 & 0 & 0 & 0 & 0 & 1 & 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & 0 & 0 & 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 & 1 & 1 & 1 & 1 & 1 & 1 & 1 & 1 & 1 \end{pmatrix}$
$[\frac{1}{2}k(k-\frac{k}{p}+2), k, k-\frac{k}{p}+1]_2$ Complete multipartite graph code [Kim et al. 15]	$(r_1, r_2)_{\text{all}} = (2, 4)$ $(r_1, r_2)_{\text{info}} = (2, 3)$		$\begin{pmatrix} 1 & 0 & 0 & 0 & 0 & 0 & 1 & 1 & 1 & 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 & 1 & 1 & 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 & 0 & 1 & 0 & 0 & 0 & 1 & 0 & 0 & 0 & 1 & 1 & 0 & 0 & 0 & 1 & 1 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 & 0 & 0 & 1 & 0 & 0 & 0 & 1 & 0 & 0 & 0 & 0 & 1 & 1 & 0 & 0 & 0 & 1 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 & 0 & 0 & 0 & 0 & 1 & 0 & 0 & 0 & 1 & 0 & 1 & 0 & 1 & 0 & 1 & 0 & 1 & 0 & 1 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & 0 & 1 & 0 & 0 & 0 & 1 & 0 & 0 & 0 & 1 & 0 & 1 & 0 & 1 & 0 & 1 & 0 & 1 & 0 & 1 & 0 & 1 \end{pmatrix}$





# Graph-based BLRCs



Some optimal and almost optimal codes

Graph-based BLRCs	Theorem 1 [Proposed]	Theorem 2 [Proposed]	Remark 1 [Cadambe et al.]
$[10, 4, 4]_2$ CG code	√	√	a.o.
$[8, 4, 3]_2$ CMG code	√	√	√
$[9, 4, 3]_2$ Tiara code	√	a.o.	a.o.
$[10, 5, 3]_2$ Crown code	√	√	√
$[6, 3, 3]_2$ Ring code	√	√	√
$[2^k - 1, k, 2^{k-1}]_2$ Simplex code	√	√	√



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# Conclusions



- Two alphabet-dependent bounds for LRCs with joint locality are proposed
- New graph-based BLRCs (Tiara codes) with good joint locality and high rate are proposed
- Some optimal and almost optimal codes with certain choice of parameters are found
- Optimal code construction with general parameters is open