

Rate Allocation for Component Codes of Plotkin-Type UEP Codes*

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SUMMARY In this paper, we propose a framework to allocate code rates of component codes in a Plotkin-type unequal error protection (UEP) code. We derive an equivalent noise variance for each component code using structure of the Plotkin construction and Gaussian assumption. Comparing the equivalent noise variance and Shannon limit, we can find a combination of the code rates for the component codes. We investigate three types of code rate combinations and analyse their UEP performance. We also estimate a *performance crossing signal to noise ratio (SNR)* of the Plotkin-type UEP code. It indicates that which code has better performance for a given SNR. We confirm that the proposed framework is appropriate to obtain a desired UEP capability.

key words: unequal error protection, Plotkin construction

1. Introduction

Multimedia streaming services on the wireless devices are becoming more and more popular recently, and many multimedia packets are distinguished into some different levels according to their roles with different importance. This motivates allocation of different protection level for each part of codeword according to their importance. To provide the different protection level, the unequal error protection (UEP) channel coding schemes have been studied.

UEP-turbo codes with non-uniform puncturing were proposed in [1]. Irregular UEP low-density parity-check (LDPC) codes using faster convergence property of the higher degree nodes have been discussed in [2], and a further optimized result was presented in [3].

Another interesting approach is the Plotkin-type UEP codes which are suggested in [4]–[6]. They are constructed from component codes C_1 and C_2 . The Plotkin construction is a serial concatenation of C_1 and $C_1 + C_2$, and it is represented as $|C_1|C_1 + C_2|$. It gives different channel quality for each component codes, and then makes different performance of each code. In [4], the Plotkin construction $|C_1|C_1 + C_2|$ is exploited to obtain an UEP property. In [5],

the authors proposed the Plotkin-type construction based UEP-LDPC codes. They analysed some characteristics of the Plotkin-type construction using the concept of equivalent channel model. In addition, a modified construction of Plotkin-type UEP codes using interleavers was proposed in [6].

In this paper, we will give a framework on how to allocate the rate of each component code of the Plotkin-type construction. For simplicity, we will consider only two protection levels. Also, we will define three cases of their rate combinations. For each case, we analyse the UEP performance, and compare with an equal error protection (EEP) code. Our contribution can be applied to larger number of protection levels. In addition, we will propose an estimation of *performance crossing signal to noise ratio (SNR)*. This indicates which code has better performance at a given SNR.

This paper is organized as follows. In Sect. 2, we review the Plotkin-type UEP codes with encoding scheme, multistage decoding algorithm, and some properties with the notion of equivalent channel noise. In Sect. 3, we give an analysis for the rate allocation for component codes of the Plotkin-type UEP codes using equivalent channel models based on Gaussian assumption. We also estimate the performance crossing SNR. In Sect. 4, we show some simulation results of the Plotkin-type codes which are designed using the proposed rate allocation. Conclusion is given in Sect. 5.

2. Plotkin-Type UEP Codes

In this section, we briefly review the Plotkin-type UEP codes described in [5].

2.1 Construction

Plotkin-type code C_p is defined as follows:

$$C_p = \{|\mathbf{u}|\mathbf{u} + \mathbf{v}||\mathbf{u} \in C_1, \mathbf{v} \in C_2\}, \quad (1)$$

where C_1 and C_2 are $[n, k_1, d_1]$ and $[n, k_2, d_2]$ binary linear codes, respectively, and $\mathbf{u} + \mathbf{v}$ over GF(2) is performed component-wise. The codes C_1 and C_2 are named *component codes*. Letting $\mathbf{w} = \mathbf{u} + \mathbf{v}$ over GF(2), one transmits \mathbf{u} in the first time slot and \mathbf{w} in the second. In [5], they used the LDPC codes as the component codes, and called the codes as Plotkin-type UEP-LDPC codes.

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2.2 Decoding

The basic decoding process of the Plotkin-type UEP codes is multistage (MS) decoding. Let $\mathbf{y}' = \{y'_i\}_{i=1}^n$ and $\mathbf{y}'' = \{y''_i\}_{i=1}^n$ be the first and second received vectors for \mathbf{u} and \mathbf{w} , respectively, and $L^{y'} = \{L_i^{y'}\}_{i=1}^n$ and $L^{y''} = \{L_i^{y''}\}_{i=1}^n$ be their *log-likelihood ratios* (LLRs), respectively. The MS decoding procedure is given as follows:

1. **Calculation of LLR** $L^v = \{L_i^v\}_{i=1}^n$ **for** \mathbf{v} :

$$L_i^v = 2 \cdot \tanh^{-1}(\tanh(L_i^{y'}/2) \tanh(L_i^{y''}/2)). \quad (2)$$

2. **Decoding of** \mathbf{v} : Using L_i^v , decode \mathbf{v} for C_2 . Denote the result by $\hat{\mathbf{v}} = \{\hat{v}_i\}_{i=1}^n \in \{0, 1\}^n$.
3. **Calculation of LLR** $L^u = \{L_i^u\}_{i=1}^n$ **for** \mathbf{u} :

$$L_i^u = L_i^{y'} + (-1)^{\hat{v}_i} L_i^{y''}.$$

4. **Decoding of** \mathbf{u} : Using L_i^u , decode \mathbf{u} for C_1 .

The advantage of this algorithm is the LLR gain of the \mathbf{u} in the 3rd step of the above as mentioned in [5]. This algorithm can be applied repeatedly, and it is called as the multiround-multistage (MR-MS) decoding.

2.3 Properties

In [5], authors used the concept of the *equivalent channel* under the Gaussian assumption [8]. If two additive white Gaussian noise (AWGN) channels are modelled as two Gaussian random variables $Z_1 \sim N(m_{z1}, \sigma_{z1}^2)$ and $Z_2 \sim N(m_{z2}, \sigma_{z2}^2)$ with $m_{z1}^2/\sigma_{z1}^2 = m_{z2}^2/\sigma_{z2}^2$, then they can be referred as *equivalent channel*, in the sense of the equivalent SNR. For an AWGN channel of Gaussian random variable $X \sim N(m_x, \sigma_x^2)$, we can calculate the equivalent channel noise variance $\sigma_{x,eq}^2 = \sigma_x^2/m_x^2$, where the equivalent channel is modelled as $N(1, \sigma_{x,eq}^2)$. Let σ_1^2 and σ_2^2 are the equivalent channel noises that C_1 and C_2 in C_p experience, respectively. The brief summaries of two lemmas in [5] are listed as follows. Here, the perfect decoding of C_2 (i.e. \mathbf{v}) is assumed.

Lemma 1: [5] If the equivalent channel noise $\sigma_{ch}^2 \rightarrow \infty$, then $\sigma_1^2 \rightarrow \sigma_{ch}^2/2$ and $\sigma_2^2 \rightarrow \sigma_{ch}^4$.

Lemma 2: [5] If the equivalent channel noise $\sigma_{ch}^2 \rightarrow 0$, then $\sigma_1^2 \rightarrow \sigma_{ch}^2/2$ and $\sigma_2^2 \rightarrow \sigma_{ch}^2$.

These lemmas indicate that the UEP property of MS decoding is based on the unequal channel SNR of the component codes.

3. Rate Allocation of the Component Codes

In this section, we discuss the rates of the component codes and their equivalent channel. In this paper, we just consider the AWGN channels only. The following notations are fixed

throughout the paper:

- C_p and R_p : Plotkin-type UEP code and its rate.
- C_1 and C_2 : two component codes of C_p .
- R_1 and R_2 : code rate of C_1 and C_2 , respectively.
- C_a and R_a : an average EEP code with the same code rate as C_p .
- C_i in C_p : usage of C_i as a component code in the Plotkin-type UEP code C_p .
- C_i only as EEP: usage of C_i as an EEP code by itself.

3.1 Average Code

The code rate of C_p is the average of R_1 and R_2 :

$$R_p = \frac{R_1 + R_2}{2} = \frac{k_1 + k_2}{2n}. \quad (3)$$

Now we consider the average EEP code C_a which achieves the channel capacity and has rate R_a . We further assume that its rate and length are the same as those of C_p , i.e. $R_a = R_p$. Throughout this paper, *threshold* refers to the equivalent noise variance corresponding to the Shannon limit of a given code rate. Let $\sigma_{a,th}^2$ be the threshold of C_a in BPSK modulation system under AWGN channel [9]. Then,

$$R_a = f(\sigma_{a,th}^2), \quad (4)$$

where $f(\sigma^2)$ is a capacity function of binary phase shift keying (BPSK) given as

$$f(\sigma^2) = \int \frac{\exp\left(-\frac{(x-1)^2}{2\sigma^2}\right)}{\sqrt{2\pi\sigma^2}} \log_2\left(\frac{2}{1 + \exp\left(-\frac{2x}{\sigma^2}\right)}\right) dx.$$

Let $\sigma_{1,th}^2$ and $\sigma_{2,th}^2$ be the threshold of C_1 and C_2 in the equivalent noise variance form, respectively. Then we can rewrite the code rate relation as follows,

$$f(\sigma_{a,th}^2) = \frac{f(\sigma_{1,th}^2) + f(\sigma_{2,th}^2)}{2}, \quad (5)$$

$$\sigma_{2,th}^2 = f^{-1}(2f(\sigma_{a,th}^2) - f(\sigma_{1,th}^2)). \quad (6)$$

3.2 Equivalent Channel Models and Thresholds of Component Codes

By the relation (3), if we select R_a and R_1 , then R_2 will be fixed. The Gaussian assumption enables the approximation of equivalent noise variance σ_2^2 that is experienced by the decoder of C_2 in C_p . We assume C_p and C_a are transmitted over the equivalent channel with σ_{ch}^2 . For a fair comparison and design purpose, we may put $\sigma_{ch}^2 = \sigma_{a,th}^2$. Then, the LLRs of received vectors $\{L_i^{y'}\}_{i=1}^n$ for C_1 in C_p and $\{L_i^{y''}\}_{i=1}^n$ for $C_1 + C_2$ in C_p both follow independent $N(2/\sigma_{a,th}^2, 4/\sigma_{a,th}^2)$.

The first step of the MS decoding calculates L_i^v using the relation in (2). By using Monte Carlo simulation and Gaussian assumption, we can obtain $E[L_i^v]$ and $Var[L_i^v]$.

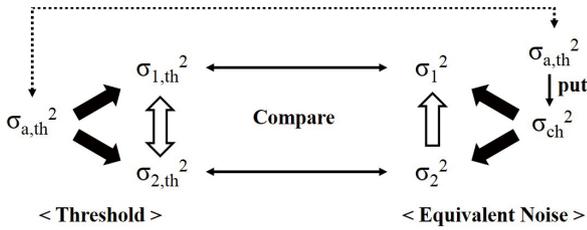


Fig. 1 Diagram of the analysis process. Left: threshold of each codes. Right: equivalent channel noise of each codes.

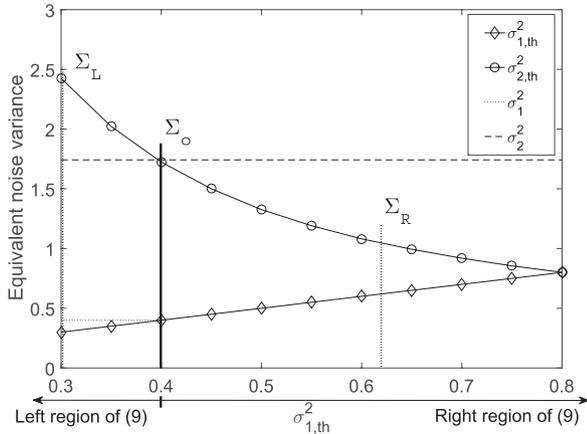


Fig. 2 Case $\sigma_{a,th}^2 = 0.8$ and hence $R_a = 0.5604$.

And hence, the equivalent noise σ_2^2 of the channel for C_2 in C_p is determined as

$$\sigma_2^2 = \frac{Var [L_i^v]}{E^2 [L_i^v]} \tag{7}$$

The two component codes C_1 and C_2 in C_p should be error free to decode C_p perfectly. If the equivalent channel $\sigma_{a,th}^2$ satisfies the error free condition of C_2 in C_p , then we can easily claim that the equivalent channel noise of C_1 in C_p is

$$\sigma_1^2 = \frac{\sigma_{a,th}^2}{2} \tag{8}$$

It is clear that C_p will be error free if the following inequalities (9) hold:

$$\sigma_1^2 \leq \sigma_{1,th}^2 \quad \text{and} \quad \sigma_2^2 \leq \sigma_{2,th}^2 \tag{9}$$

Now, we need to determine the values of $\sigma_{1,th}^2$ and $\sigma_{2,th}^2$ satisfying (9) for a given R_a and hence $\sigma_{a,th}^2$. Then this will determine the rate R_1 and R_2 by the similar relations as (4) or (5). This process is shown in Fig. 1. Note that we set $\sigma_{ch}^2 = \sigma_{a,th}^2$.

Figure 2 shows the result of all the computations above for the various values of $\sigma_{1,th}^2$ for a given value of $\sigma_{a,th}^2 = 0.8$ and hence $R_a = 0.5604$. Here, the solid lines of $\sigma_{1,th}^2$ and $\sigma_{2,th}^2$ correspond to the various values of the threshold of

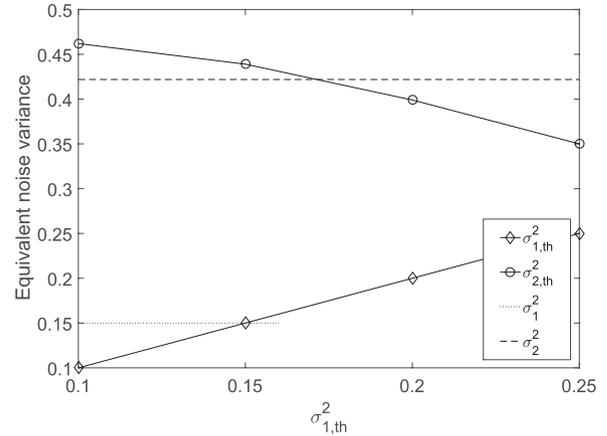


Fig. 3 Case $\sigma_{a,th}^2 = 0.3$ and hence $R_a = 0.8724$.

the each component code where $\sigma_{1,th}^2$ is set to be the x-axis. Note that $\sigma_{2,th}^2$ is determined from $\sigma_{a,th}^2$ and $\sigma_{1,th}^2$. The dashed horizontal line is the equivalent channel noise σ_2^2 for the decoding of C_2 and the dotted horizontal line is σ_1^2 for the decoding of C_1 , respectively, where the given channel condition is $\sigma_{a,th}^2$. Here, we obtained σ_1^2 and σ_2^2 using (8) and (7), respectively.

In the figure, $\sigma_{1,th}^2 = 0.4$ and $\sigma_{2,th}^2 = 1.724$ satisfy the inequalities (9), if we allow small errors in the numerical integration in (9) or Monte Carlo simulation of L_i^v . The thresholds $\sigma_{1,th}^2$ and $\sigma_{2,th}^2$ are designated by the solid vertical line with mark Σ_o . They determine the rates of C_1 and C_2 to be $R_1 = 0.79$ and $R_2 = 0.33$. From this, we may foresee that both of C_1 and C_2 with these rates will perform (ideally) error-free in C_p . In practical situation, C_p will perform almost same as C_a . To the left of (9) lies the region in which the following holds:

$$\sigma_1^2 > \sigma_{1,th}^2 \quad \text{and} \quad \sigma_2^2 \leq \sigma_{2,th}^2.$$

This implies that C_1 works poorly even though C_2 might work with acceptable level of performance. In the right of (9), the dotted horizontal line for σ_1^2 will go up passing the vertical line Σ_o due to the poor performance of C_2 which can obviously be seen by the fact that $\sigma_2^2 > \sigma_{2,th}^2$. The same as Σ_o , we can choose a pair of $\sigma_{1,th}^2$ and $\sigma_{2,th}^2$ in both (left and right of Σ_o) ranges, respectively. In the figure, Σ_L and Σ_R represent two examples of the pairs for the left and right regions, respectively. They are described with dotted vertical lines in Fig. 2.

The results of high and low R_a are represented in Fig. 3 and Fig. 4, respectively. For each figure, we can find a region of (9). We think that the region exists over wide range of R_a .

For the performance simulation, we have selected three cases from Fig. 2, one from each region, and performed BER simulation of involved codes in order to confirm the analysis and predicted behavior of the component codes described so far. The parameters are listed in Table 1, and the simulation results will show that they work as expected in Sect. 4.

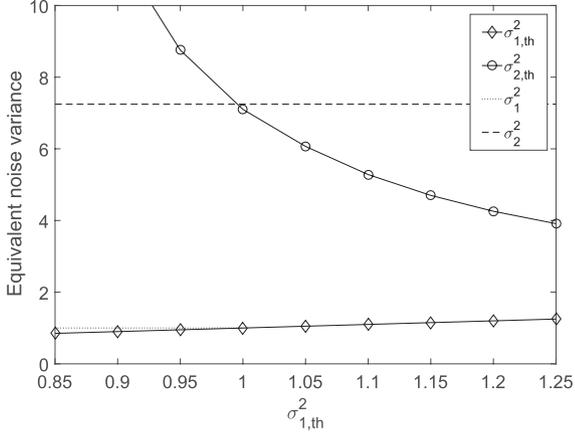


Fig. 4 Case $\sigma_{a,th}^2 = 2.0$ and hence $R_a = 0.2905$.

Table 1 Code rates from three cases.

	$R_a (\sigma_{a,th}^2)$	$R_1 (\sigma_{1,th}^2)$	$R_2 (\sigma_{2,th}^2)$
Σ_L	0.56 (0.8)	0.87 (0.3)	0.25 (2.425)
Σ_o	0.56 (0.8)	0.79 (0.4)	0.33 (1.724)
Σ_R	0.56 (0.8)	0.65 (0.619)	0.47 (1.051)

3.3 Performance Crossing SNR of C_2 in C_p

In this section, we analyse the *performance crossing SNR* which gives the same performance for C_2 in C_p and \bar{C}_2 , where \bar{C}_2 denotes C_2 as an EEP code. Because of the purpose of UEP, the performance crossing SNR becomes an important parameter. For a given SNR, if the performance crossing SNR is smaller than the given SNR, then C_2 in C_p has better performance than \bar{C}_2 . Otherwise, \bar{C}_2 has better performance than C_2 in C_p . Investigating the performance crossing SNR will be helpful to decide whether we will exploit the Plotkin-type UEP code or not.

The performance crossing SNR of C_2 in C_p can be calculated for every Plotkin construction with the same length C_1 and C_2 . For a given E_b/N_0 , \bar{C}_2 and C_p have E_s/N_0 given by

$$E_s/N_0^{\bar{C}_2} = R_2(E_b/N_0) \quad \text{and} \quad E_s/N_0^{C_p} = R_p(E_b/N_0),$$

respectively. If $E_s = 1$, then the relations can be stated as follows

$$\frac{1}{R_2(E_b/N_0)} = N_0^{\bar{C}_2} = 2\bar{\sigma}_2^2$$

$$\frac{1}{R_p(E_b/N_0)} = N_0^{C_p} = 2\sigma_a^2,$$

where $\bar{\sigma}_2^2$ and σ_a^2 are equivalent noise variance of \bar{C}_2 and C_p , respectively. From Sect. 3.2, we can approximate the equivalent noise variance σ_2^2 that C_2 in C_p experiences through the channel by setting $\sigma_{ch}^2 \leftarrow \bar{\sigma}_a^2$.

Now, it is obvious that the value of E_b/N_0 such that

Table 2 Degree distributions of C_a , C_1 , and C_2 for simulation.

	C_a	$\bar{\lambda}(x) = 0.634x + 0.088x^2 + 0.278x^3$ $\bar{\rho}(x) = 0.030909x^4 + 0.929091x^5 + 0.04x^6$
Σ_o	C_1	$\bar{\lambda}(x) = 0.7908x + 0.2092x^2$ $\bar{\rho}(x) = 0.485714x^9 + 0.508571x^{10} + 0.005714x^{11}$
	C_2	$\bar{\lambda}(x) = 0.5876x + 0.2352x^2 + 0.1772x^9$ $\bar{\rho}(x) = 0.54806x^4 + 0.45194x^5$
Σ_L	C_1	$\bar{\lambda}(x) = 0.5768x + 0.1308x^3 + 0.2924x^4$ $\bar{\rho}(x) = 0.855385x^{23} + 0.144615x^{24}$
	C_2	$\bar{\lambda}(x) = 0.6952x + 0.0976x^3 + 0.1668x^4$ $+ 0.0276x^{12} + 0.0128x^{13}$ $\bar{\rho}(x) = 0.796267x^3 + 0.203733x^4$
Σ_R	C_1	$\bar{\lambda}(x) = 0.604x + 0.0872x^2 + 0.3088x^3$ $\bar{\rho}(x) = 0.278857x^6 + 0.714286x^7 + 0.006857x^8$
	C_2	$\bar{\lambda}(x) = 0.5328x + 0.2988x^2 + 0.1276x^6 + 0.0408x^7$ $\bar{\rho}(x) = 0.036226x^4 + 0.924528x^5 + 0.039245x^6$

$$\bar{\sigma}_2^2 = \sigma_2^2 \quad (10)$$

is the performance crossing SNR that gives the same performance for C_2 in C_p and \bar{C}_2 . These results are confirmed by the following simulation results.

4. Simulations and Discussions

For BER performance simulations, we used LDPC codes for the component codes. Table 1 shows the target code rates for the simulation of the Plotkin-type UEP-LDPC codes. C_a , C_1 and C_2 are optimized LDPC codes using Gaussian approximation [8] and progressive edge growth (PEG) [7]. The length of C_a and C_p are 5000, those of C_1 and C_2 are 2500. We decoded the codes C_p (and thus C_1 and C_2 in C_p) by using the MS decoding in Sect. 2. For the decoding of the component codes as EEP codes, the belief propagation (BP) decoding [10] and 100 iterations are applied.

We would like to compare the performance difference between C_p and C_a , where C_p is determined by C_1 and C_2 whose rates are determined by the vertical lines Σ_o , Σ_L , and Σ_R in Fig. 2. Their code rates are shown in Table 1. In Figs. 5, 6, and 7, the curve for C_p is the average of C_1 and C_2 in C_p . C_a is the code that has the same length and code rate as C_p and optimized by itself. The degree distribution of C_a , C_1 , and C_2 for Σ_o , Σ_L , and Σ_R are shown in Table 2. $\bar{\lambda}(x)$ and $\bar{\rho}(x)$ represent the node perspective degree distribution of variable and check nodes, respectively. C_a is the same throughout the simulations in Figs. 5, 6, and 7. The girth of every code becomes 10 by PEG, except for C_1 in Σ_L which becomes girth 6.

In Fig. 5, C_p and C_a have quite similar performance as we predicted in the previous section. Figures 6 and 7 show the BER performances of rate assignment of Σ_L and Σ_R , respectively. In both Fig. 6 and Fig. 7, C_p is worse than C_a as we could predict, because they do not satisfy (9). But Fig. 6

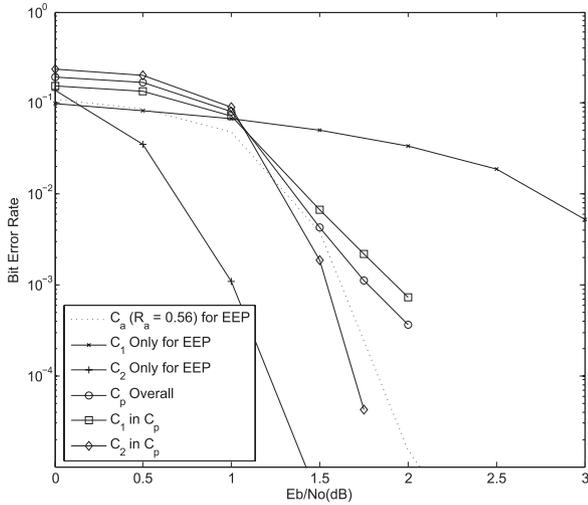


Fig. 5 Simulation results of Σ_O .

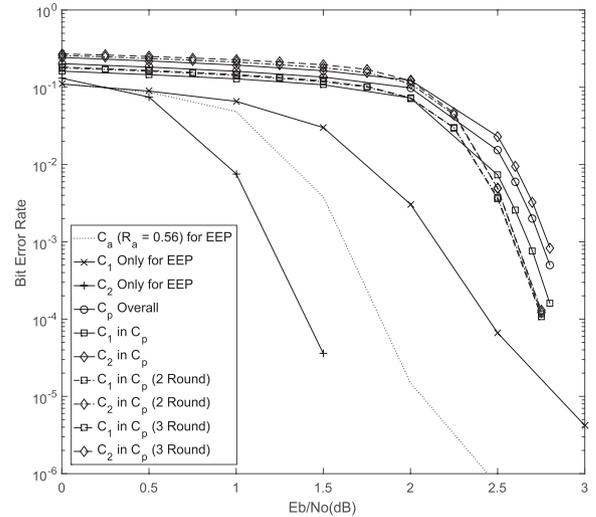


Fig. 7 Simulation results of Σ_R .

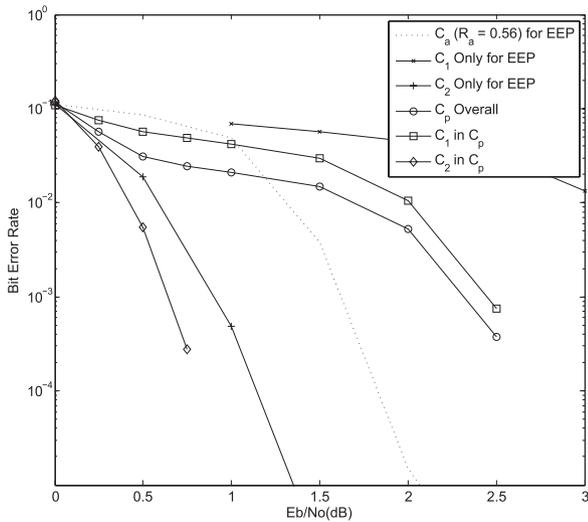


Fig. 6 Simulation results of Σ_L .

shows good UEP capability. In other words, C_1 and C_2 in C_p have wide performance gap and also their performances are better than those of C_1 only and C_2 only, respectively. These properties are good for the purpose of the UEP codes with the expense of the average performance of C_p . In Fig. 7, however, performance of the Plotkin-type code is much worse than C_a . This result is due to the poor assignment of the rate for the component codes of the Plotkin-type UEP code C_p . We have to avoid this configuration.

In Fig. 7, performances of MR-MS decoding of Σ_R are shown for reference. Dashed-dot and dashed lines represent the performances of 2 and 3 round MR-MS decoding, respectively. The result shows that MR-MS decoding can improve the performance of Plotkin-type UEP codes, however it is still worse than the performance of C_a . Since the results of MR-MS decoding for Σ_O and Σ_L have almost same performance as MS decoding, they have been omitted for readability. From the results, we can confirm that the rate

allocation is more important than the number of decoding round for the performance of the Plotkin-type UEP code.

We now consider the performance crossing SNR and confirm its analysis. In Fig. 5, BER of C_2 in C_p is decreased more rapidly than that of C_2 only. The two curves will meet at some point of SNR and this performance crossing SNR can be estimated as we discussed in the previous section. By trial and error, we can scan the value of E_b/N_0 which gives the value of σ_2^2 and $\bar{\sigma}_a^2$ satisfying (10). In Fig. 6, the performance of C_2 in C_p starts to exceed for $E_b/N_0 > 0.2$ dB. For this case, we found that $E_b/N_0 = 0.2$ dB gives $\sigma_2^2 = \bar{\sigma}_a^2 = 1.9$, then we could confirm the performance crossing SNR is the same value to 0.2 dB mentioned above. In $E_b/N_0 > 0.2$ dB region, C_2 in C_p shows better performance than C_2 only. In Fig. 5, $E_b/N_0 = 2.8$ dB gives $\sigma_2^2 = \bar{\sigma}_a^2 = 0.79$, but it is out of the range in the figure.

5. Conclusion

In this paper, we proposed a guideline for the combination of the component code rates of the Plotkin-type UEP codes to make good UEP capabilities by using the threshold and equivalent noise variance analysis. For a given average code rate, we show that one can draw a graph similar to Figs. 2, 3, and 4. From the figures, we can predict the performance of the Plotkin-type UEP codes and classify the tendency of the three regions. For a good average performance, we should take a code rate combination at the near range of (9) region. And for a good UEP capability, Σ_L region will be a good candidate. Σ_R region should be considered carefully, because the performance could be worse than those of the component codes. And we can easily estimate the performance crossing SNR which is an E_b/N_0 value that the performance of C_2 in C_p exceeds the case that C_2 is used solely.

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