Some construction of optimal ZCZ sequence families with suppressed side-lobe outside ZCZ

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Orthogonal codes with good out-of-phase correlations



Orthogonal codes



A set Θ of mutually orthogonal vectors of length l is called an orthogonal code. It is well-known that

$$|\Theta| \le l$$

We call Θ maximal orthogonal code when the equality holds.

Maximal orthogonal codes can be obtained from:

- 1. DFT matrices
- 2. circulant matrices generated by perfect sequences
- 3. (generalized) Hadamard matrices

only consider mutually orthogonality

don't consider out-of-phase correlation.



Maximal orthogonal codes of length 7 over Z_7



Not good out-of-phase correlation





(Fan96, approximated lower bound) Let Θ be a set of l sequences of length l over the integers modulo q and assume that $l \gg 1$.

Then the maximum non-trivial correlation is lower bounded by

- \sqrt{l} if q > 2.
- $\sqrt{2l}$ if q = 2.

Do there exist such orthogonal codes? How can we construct?

ANS) Fortunately, we can construct them for **odd prime lengthes**.

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Main Construction - a class of orthogonal codes -



For odd prime p, using Zadoff-Chu sequences $z = \{z_{u,k}(t)\}_{t=0}^{p-1}$ defined $z_{u,k}(t) = ut(t+1+2k)/2 \mod l.$ (Chu72)

Definition. For odd prime p > 3 and a non-zero element c in Z_p , let z(t) be a Zadoff-Chu sequence of period p. Define a set K of p sequences k_0, k_1, \dots, k_{p-1} of length p over Z_p as

$$k_i = \{k_i(t) = z(t+i) + ct^3 \mod p\}_{t=0}^{p-1}$$

Theorem. The set *K* is a maximal orthogonal code and the magnitude of out-of-phase correlation of any two sequences in *K* is \sqrt{p} . In other words, *K* is a maximal orthogonal code which meets the approximated lower bound.



Proof of the theorem



For simplicity, consider the case when z(t) = t(t + 1)/2. (u = 1, k = 0)For $k_i, k_j \in K$,

$$C_{k_{i},k_{j}}(\tau) = \sum_{t=0}^{p-1} \omega_{p}^{z(t+i)+t^{3}-z(t+\tau+j)-(t+\tau)^{3}}$$

$$C_{k_{i},k_{j}}(\tau) = \sum_{t=0}^{p-1} \omega_{p}^{-3\tau t^{2}-3\tau^{2}t+\tau^{3}+ct+d}$$

$$C_{k_{i},k_{j}}(0) = \sum_{t=0}^{p-1} \omega_{p}^{z(t+i)-z(t+j)}$$
$$= \begin{cases} p, \text{ if } i = j \\ 0, \text{ if } i \neq j \end{cases}$$

Mutually orthogonality

$$\begin{aligned} \left| C_{k_{i},k_{j}}(\tau) \right|^{2} &= \sum_{\substack{t=0\\p-1}}^{p-1} \omega_{p}^{-3\tau t^{2} - 3\tau^{2} t + \tau^{3} + ct + d} \sum_{\substack{t'=0\\p-1}}^{p-1} \omega_{p}^{3\tau t'^{2} + 3\tau^{2} t' - \tau^{3} - ct' - d} \\ &= \sum_{\substack{t=0\\p-1}}^{p-1} \omega_{p}^{-3\tau t^{2} - 3\tau^{2} t + \tau^{3} + ct + d} \sum_{\substack{e=0\\e=0}}^{p-1} \omega_{p}^{3\tau (t+e)^{2} + 3\tau^{2} (t+e) - \tau^{3} - c(t+e) - d} \\ &= \sum_{\substack{e=0\\p-1}}^{p-1} \omega_{p}^{3\tau e^{2} + 3\tau^{2} e - ce} \sum_{\substack{t=0\\berring}}^{p-1} \omega_{p}^{6\tau e t} & \text{``The constants } c, d \text{ are determined by } i, j, \tau. \end{aligned}$$





$$\left|C_{k_{i},k_{j}}(\tau)\right|^{2} = \sum_{e=0}^{p-1} \omega_{p}^{3\tau e^{2} + 3\tau^{2} e - ce} \sum_{t=0}^{p-1} \omega_{p}^{6\tau et}.$$

Since

$$\sum_{t=0}^{p-1} \omega_p^{6\tau \text{et}} = \begin{cases} p, & \text{if } e = 0\\ 0, & \text{otherwise,} \end{cases}$$

it becomes

$$\left|C_{k_i,k_j}(\tau)\right|^2 = p,$$

for $\tau \not\equiv 0 \mod p$. Therefore, for any $0 < \tau < p$, $\left| C_{k_i,k_j}(\tau) \right| = \sqrt{p}$, regardless of *i* and *j*.

The proof is similar for other case.

Comparison with others





Application of the orthogonal code: ZCZ sequence family construction with suppressed side-lobes outside ZCZ



What we will consider here



Side-lobes of zero-correlation zone (ZCZ) sequences outside zero-correlation zone



An example of auto- and cross-correlation of ZCZ sequences

- sequence length : 80
- zero-correlation zone length : 15



ZCZ Sequences



Let $S = \{s_0, s_1, ..., s_{m-1}\}$ be a set of sequences of period *l*. Then, we call *S* a (*l*, *m*, *z*) **zero-correlation zone (ZCZ) sequence family** if their non-trivial correlation is zero for τ in ZCZ, that is,

$$C_{s_i}(\tau) = 0, \quad \text{for } 0 < |\tau| < z$$

$$C_{s_i,s_j}(\tau) = 0, \quad \text{for } 0 \le |\tau| < z.$$

(bound for zero-correlation zone size)

For a (l, m, z) ZCZ sequence family S, it is always true that

$$z-1 \leq \frac{\iota}{m}.$$

S is called **optimal when the equality holds**.

ZCZ sequence family construction



- Many constructions for optimal families have been proposed by using
 - Mutually orthogonal complementary sequence sets (Deng00, Appusewamy06, Liu14, Liu13)
 - Perfect sequences
 (Matsufuji03, Hu10, Tang08, Takatsukasa08, Zhou08)
 By using term-by-term product of a perfect sequence and a maximal orthogonal code.



Term-by-Term product (TBTP)



(Titsworth62)

Let

 $a = \{a(t)\}_{t=0}^{l_1-1}$ be an m_1 -ary sequence of period l_1 and $b = \{b(t)\}_{t=0}^{l_2-1}$ be an m_2 -ary sequence of period l_2 .

The term-by-term product (TBTP) of a and b, denoted by $a \circ b$, is

$$(a \circ b)(t) = \frac{m_2}{\gcd(m_1, m_2)} a(t) + \frac{m_1}{\gcd(m_1, m_2)} b(t) \mod \operatorname{lcm}(m_1, m_2)$$

for $t = 0, 1, 2, \dots, l_1 l_2 - 1$.

ZCZ sequence family based on TBTP

(Matsufuji03) ZCZ sequence family construction based on TBTP Let $a = \{a(t)\}_{t=0}^{l_1-1}$ is a perfect sequence over Z_{m_1} and Θ is a maximal orthogonal code of length l_2 over Z_{m_2} . If $gcd(l_1, l_2) = 1$, $a \circ \Theta = \{a \circ b | b \in \Theta\}$ forms an $lcm(m_1, m_2)$ -ary optimal $(l_1 l_2, l_2, l_1)$ ZCZ sequence family.

For any two ZCZ sequences $u = a \circ b_1$, $v = a \circ b_2$ where $b_1, b_2 \in \Theta$, the cross-correlation between them is of the form

$$C_{u,v}(\tau) = C_a(\tau)C_{b_1,b_2}(\tau) = \begin{cases} zC_{b_1,b_2}(\tau), & \text{if } \tau \equiv 0 \mod z \\ 0, & \text{if } \tau \equiv 0 \mod z \end{cases}$$

To minimize non-zero side-lobes outside ZCZ, we need to design orthogonal codes with good out-of-phase correlation.



Construction. Let *p* be an odd prime greater than 3 and *K* be the proposed maximal *p*-ary orthogonal code of period *p*.

Let a be an m-ary perfect sequence of period l such that gcd(p, l) = 1. Then,

<mark>a ° K</mark>

is an optimal (*mp*)-ary (*lp*, *p*, *l*) ZCZ sequence family whose the maximum magnitude of side-lobe outside ZCZ meets the approximated lower bound. In other words, **it has minimized side-lobe level outside ZCZ.**

When use ordinary orthogonal code 🛞

(Matsufuji03) For example, let Θ be the maximal orthogonal code from the 7 × 7 DFT matrix. And Let q be the Fermat-quotient sequence of period 25. Then,

Auto-correlation V80 140 V 160 τ Some Side-lobes outside ZCZ are -equal to the length. Cross-correlation zero-correlation zone τ Min Kyu Song





Concluding remarks



 Some orthogonal code of length odd prime may play the role of the Zadoff-Chu sequence in our orthogonal code construction.

Definition. For odd prime p > 3 and a non-zero element c in Z_p , let z(t) be a Zadoff-Chu sequence of period p. Define a set K of p sequences k_0, k_1, \dots, k_{p-1} of length p over Z_p as

$$k_i = \{k_i(t) = z(t + i) + ct^3 \mod p\}_{t=0}^{p-1}$$

This can be replaced with codewords in other orthogonal codes